

THIRTY-FIFTH INTERNATIONAL SYMPOSIUM ON ECONOMIC CRIME

SUNDAY 3rd SEPTEMBER - SUNDAY 10th SEPTEMBER 2017

JESUS COLLEGE, UNIVERSITY OF CAMBRIDGE

PREVENTING AND CONTROLLING ECONOMIC CRIME IN THE MODERN WORLD – whose responsibility and are they really up to it?



The 35th Cambridge International Symposium on Economic Crime

Preventing and controlling economic crime in the modern world – whose responsibility and are they really up to it?

The thirty-fifth international symposium on economic crime is the most extensive and ambitious programme that we have so far attempted. The over-arching theme is simply who is responsible for protecting us from economic crime and are they up to this important task? If not, then how can we assist them to do a better job – for all our sakes!

These vital issues are pursued in a practical, applied and relevant manner, by those who with the benefit of experience are best placed to do so. The symposium, although held in one of the world's leading universities and recognising the significance of intelligent deliberation, is not a talking shop for those with vested interests – official or commercial. We strive to offer a rich and deep analysis of the real issues and in particular threats to our institutions and economies presented by economically motivated crime and misconduct. We are also equally concerned to offer and assist in developing if not solutions at least better practices based on real experience and application. Therefore, well over 600 experts from around the world will share their experience and knowledge with other participants drawn from policy makers, law enforcement, compliance, regulation, business and the professions. The programme is drawn up with the support of a great number of agencies and organisations throughout the world and the Organising Institutions and principal sponsors greatly value this global commitment.

In considering how to better discourage and control economic crime we examine the real threats facing our economies and in particular those who look after other people's wealth not just from criminals and terrorists, but also indirectly as a result of law enforcement and regulatory intervention. We also context these risks and the responses not only in terms of the law, but also regulation and especially compliance practice. Therefore in every specialist panel or workshop there is an array of relevant practical experience and expertise.

In recent years the symposium has attracted well over 1,800 participants from over 90 countries. This year will be no different, although we are privileged to with the support of China be able to give a special focus in some parts of the programme on its particular circumstances and the impact on the rest of us. As in previous symposia we do not focus on a single – no matter how important issue. Just spend a few minutes to look through the 35th Symposium programme, I am confident that you will be impressed by its depth, breadth and relevance. The symposium is not an ordinary conference. It was conceived to fulfil a very practical purpose - to promote understanding of the real issues in controlling economically motivated crime and facilitate co-operation and effective action, ideally preventive. Consequently, we make every effort to foster networking and promoting meaningful co-operation.

The annual symposium over the last thirty-five years has brought together in one of the oldest medieval Colleges of the University of Cambridge, ministers, legislators, senior officials, diplomats, judges, regulators, law enforcement, intelligence and security officers, financial intermediaries, bankers, professional advisers, compliance and risk officers and researchers from around the world. This programme is structured to provide a depth and breadth of opportunity - second to none, for those participating in the symposium to become aware not only of existing, but also new threats, and how best to address them.

The Cambridge Symposium is not and has never been just a conference. It is organised on a non-profit making basis by some of the world's most respected academic and research institutions with the active involvement and support of numerous governmental and inter-governmental organisations. Those who are concerned to protect and promote the integrity and wellbeing of their national economy, institution or enterprise – or who are concerned to better understand the risks facing business today, cannot afford to miss this very special event.

Professor Barry A.K. Rider OBE
Founding Director and Co-Chairman,
Jesus College,
Cambridge

Sunday 3rd September 2017

13:00 Registration in the Marquee, Jesus College, Cambridge

18:30 Cocktails in the Marquee

Dinner in Hall and Upper Hall, Jesus College

Civic welcome will be extended by the Worshipful **Mayor of Cambridge** and **Mr Daniel Zeichner MP**, Member of Parliament for Cambridge and Shadow Minister of Transport, UK. After-dinner addresses will be given by **Mr Saul Froomkin QC**, Chairman of the Symposium and the Committee of the Organising Institutions, Chairman of the Centre for International Documentation on Organised and Economic Crime, former Attorney General and Solicitor General of Bermuda and Director of Criminal Law, Federal Government of Canada and currently Director and Head of Litigation, BeesMont Law Limited, Bermuda and **Professor Barry A.K. Rider**, founder, Director and Co-Chairman of the Symposium, Professorial Fellow, Centre of Development Studies, University of Cambridge, Professor of Comparative Law, Renmin University, Beijing, PRC, Professor of Law, University of the Free State, South Africa and BPP University, Master of the Bench of the Inner Temple and former Director of the Institute of Advanced Legal Studies, University of London and sometime Fellow, Dean and Tutor of Jesus College, Cambridge, introduced by **Colonel Robert Murfin**, HM Deputy Lieutenant of Greater London, President of the Fellowship of Clerks of the City of London and Clerk to the Worshipful Company of Pattenmakers of the City of London, UK

Monday, 4th September 2017

08:00 Keynote Speeches

Chair: **Mr Saul M Froomkin QC**, Symposium Chairman

Opening Addresses

- **Mr Robert Buckland, QC, MP**, Member of Parliament and Her Majesty's Solicitor General for England and Wales and Master of the Bench of the Inner Temple, UK
- **The Lord Mayor of the City of London, Dr Andrew Parmley**, Alderman and former Sheriff of the City of London, UK

Addresses by the Principal Sponsors

- **Mr David Green QC**, Director, Serious Fraud Office of England, Wales and Northern Ireland, UK
- **Mr Donald Toon**, Director, Economic Crime Command, National Crime Agency, UK
- **Mr Ian Dyson**, Commissioner, City of London Police, UK
- **Professor Barry Rider**, Professorial Fellow, Centre of Development Studies, University of Cambridge

Keynote Addresses

- **The Hon Justice Walter Samuel Nkanu Onnoghen**, Chief Justice of Nigeria
- **The Hon Mr Mukul Rohatgi SC**, The Attorney General of India
- **The Hon Ms Gloria Afuffo**, Attorney General and Minister of Justice, Government of Ghana
- **The Hon Mr Abubakar Malami**, Senior Advocate, Minister of Justice and Attorney General of Federal Republic of Nigeria
- **Ms Caroline D. Ciraolo**, Assistant Attorney General, Tax Division, United States Department of Justice, Washington, D.C., USA
- **Sir Keir Starmer QC, MP**, Member of Parliament, Shadow Secretary of State for Exiting the European Union and former Director of Public Prosecutions for England and Wales and Head of the Crown Prosecution Service and Master of the Bench of the Middle Temple, UK
- **The Hon Justice William Blair**, Judge in Charge of the Commercial Court, Queens Bench Division of the High Court of England and Wales and Master of the Bench of Lincoln's Inn, UK
- **Mr Leonard McCarthy**, Integrity Vice-President, The World Bank, Washington DC, USA
- **The Hon Dr Guiseppe Lumia**, Member of Italian Senate and former President of Italian Parliamentary Anti-Mafia Commission, Italy
- **Mrs Maite Pagazaurtundua**, Member of the European Parliament and member of the European Parliament's Committee of Inquiry into Money Laundering, Tax Avoidance and Tax Evasion (PANA); the Committee on Constitutional Affairs and the Committee on Justice, Liberties and Home Affairs, Spain
- **Mr Makoto Hayashi**, Director-General, Criminal Affairs Bureau, Ministry of Justice, Japan
- **Ms Jennie Granger**, Director General of Enforcement and Compliance, HM Revenue and Customs, UK

- **The Hon Professor Ye Feng**, Wenlan Scholar and Professor of Law, Zhongnan University of Economics and Law, former Secretary-General, International Association of Anti-Corruption Authorities, Qatar and International Association of Prosecutors and Director General, Supreme People's Procuratorate of the People's Republic of China
- **Mr Mariano Federici**, Director of the Financial Intelligence Unit, Government of Argentina
- **Mr Ching-Hsiang Tsai**, Director-General, Investigation Bureau, Ministry of Justice, Taiwan
- **Ms Julie Read**, Director, Serious Fraud Office, New Zealand

10:45 **Coffee**

11:00 **Keynote Addresses (continued)**

Chair: **Mr Saul M Froomkin QC**, Symposium Chairman

- **Mr Stefan Gannon**, Commissioner, Resolution Office, Hong Kong Monetary Authority, Hong Kong SAR, People's Republic of China
- **Professor Dame Sandra Dawson**, KPMG Professor Emeritus of Management Studies, Director of TSB, Winton Capital Management, Institute for Government and the Social Science Research Council, former Master of Sidney Sussex College, Cambridge; former Director of the Judge Business School and Deputy Vice Chancellor of the University of Cambridge and formerly member of the Prime Minister's Council on Science and Technology and member of the boards of the Financial Services Authority, Barclays plc and Centre for Management and Policy Studies, Cabinet Office, Government of the UK.
- **Mr Martin Kreutner**, Dean and Executive Secretary, The International Anti-Corruption Academy, formerly Special Adviser, Ministry of the Interior and Director of the Federal Bureau for International Affairs, Government of Austria
- **Professor Xu Hanming**, Distinguished Professor and Dean of Hubei Strategic Academy for the Rule of Law, Zhongnan University of Economics and Law and former Deputy Prosecutor General of China, People's Republic of China
- **Mr Patrick Moulette**, Head, Anti-corruption Division, Directorate for Financial and Enterprise Affairs, OECD, France
- **Professor Michael Levi**, Professor of Criminology, Cardiff University, UK
- **Mr Wil van Gemert**, Deputy Director, Operations Department, Europol, The Hague, The Netherlands
- **Mr Mark Cheeseman**, Head of Fraud and Error Policy, Deputy Director, Fraud, Error and Debt Team, Cabinet Office, Government of the UK and former Head of the Counter-Fraud and Investigations Department, The Legal Aid Agency, UK
- **Professor Nikos Passas**, Professor of Criminology, School of Criminology and Criminal Justice and Co-Director of the Institute for Security, North Eastern University, Distinguished Professor, Case Western Reserve School of Law, USA and Head of UN Sanctions Implementation Legal Review Services, Compliance Capacity International, USA
- **Professor Zhang Qihuai**, Senior Partner, Lanpeng Law Firm, Beijing, Professor of Law at among other universities, the University of South China, Beijing Institute of Technology and China University of Political Science and Law; member of the Executive Committees of the Chinese Society of Criminology and the China Academy of Arbitration Law and former Chief of Staff, China Air Force, People's Republic of China

13:00 **Lunch** in Hall and Upper Hall, Jesus College

14:00 **Group Photograph**, Jesus College

14:30 **Session I: Are we any good at protecting our societies and economies from the threat of economic crime and misconduct?**

Chair: **Professor Sir Ivan Lawrence QC**, Professor of Law, BPP University and the University of Buckingham, former Member of Parliament and Chairman of the Home Affairs Committee, House of Commons, former Recorder of the Crown Court and Master of the Bench of the Inner Temple, UK

- **Alderman Professor Michael Mainelli**, Alderman of the City of London and Executive Chairman of Z/Yen Group, Emeritus Mercers' School Memorial Professor of Commerce, Gresham College and former Director of the Defence Evaluation and Research Agency, Ministry of Defence, UK
- **Professor Ernesto Savona**, Professor of Criminology, Catholic University of Milan and Director of Transcrime, Italy
- **The Hon Mr Claude Nicati**, Lawyer, Neuchâtel Bar and former Deputy General Prosecutor of Switzerland and President of the Government of the République and Canton of Neuchâtel, Switzerland
- **Ms Alison Levitt QC**, Partner, Mishcon de Reya and Master of the Bench of the Inner Temple, UK
- **Professor Peter Reuter**, Professor of Criminology, University of Maryland, USA
- **Ms Anne Sheedy**, Head of Financial Crime and Strategic Intelligence, CIFAS – The UK's Fraud Prevention Service, UK
- **Professor Irina Abramova**, Director, Institute of African and Arab Studies, Russian Academy of Sciences, Moscow, Russia

- **Professor Hans Geiger**, Emeritus Professor of Banking, Swiss Banking Institute, University of Zurich, Switzerland
- **Professor Russell Smith**, Principal Criminologist, Australian Institute of Criminology, Government of Australia
- **Professor Margaret Beare**, Professor of Law and Sociology and former Director, Nathanson Centre on Transnational Human Rights, Crime and Security, Faculty of Law, York University, Toronto, Canada
- **Dr Shailaja Fennell**, Senior Lecturer and Assistant Director, Centre of Development Studies, University of Cambridge and Fellow and Graduate Tutor of Jesus College, Cambridge, UK

PARALLEL PLENARY WORKSHOP I – 14:30 to 16:00

The role of financial intelligence units in fighting international crime – the practical issues

Chair: Mrs Silvina Rivarola, Head, International Affairs Division, Financial Intelligence Unit, Argentina
Mr Alan Hislop, Head, Financial Intelligence Unit, National Crime Agency, UK
Mr Mariano Federici, Director of the Financial Intelligence Unit, Government of Argentina
Ms Jennifer Shasky Calvary, Global Head Financial Crime Mitigation, HSBC, London, UK and former Director of FinCen, US Department of Treasury, USA
Mr Juan Pazo, President, Insurance National Superintendency, Argentina
Mr Stiliano Ordoll, Director, Financial Intelligence Unit, Switzerland
Mr Brian Sapati, Deputy Director General, of the Inter-Governmental Action Group against Money Laundering and Terrorism Financing in West Africa (GIABA) and former Director, Serious Fraud Office, Ghana
Ms Lisa Bostwick, Senior Financial Sector Specialist, World Bank USA

PARALLEL PLENARY WORKSHOP II – 14:30 to 16:00

Asset Recovery – the practicalities

Chair: Ms Liz Baker, Head, Proceeds of Crime, Serious Fraud Office of England, Wales and Northern Ireland, UK
Mr David Trovato, Case Controller, Serious Fraud Office of England, Wales and Northern Ireland, UK
Mr Paul Napper, Principal Financial Investigator, Serious Fraud Office of England, Wales and Northern Ireland, UK

16:00 **Tea**

16:15 **Session I: Continued**

Chair: **Lord Hacking of Chorley**, Barrister, Solicitor and Chartered Arbitrator, Littleton Chambers, London, UK

- **Ms Kristin Jones**, Head of Specialist Fraud Division, Crown Prosecution Service for England and Wales, UK and former Head of Strategic Relations and International Assistance Division, Serious Fraud Office of England, Wales and Northern Ireland, UK
- **Professor Virginia Maurer**, former Professor of Business Law and Professor of Management and founding Director, Poe Center for Business Ethics, Warrington College of Business Administration, University of Florida, USA
- **Professor Johan Henning**, Senior Professor of Mercantile Law, Distinguished Emeritus Professor and sometime Dean of Law, University of the Free State and former State Prosecutor, Republic of South Africa and Visiting Professor in Corporate Law, BPP University, UK
- **Dr Asanga Abeyagoonasekera**, Director General, Institute of National Security Studies, Sri Lanka
- **Mr Mariano Cuneo Libarona**, Senior Partner, Cuneo Libarona Abogados, Buenos Aires, Argentina
- **Dr Oonagh McDonald**, International Regulatory Consultant, former Member of Parliament and Principal Private Secretary to the Chief Secretary to the Treasury and Opposition Spokesman on Treasury and Economic Affairs, former Director, Financial Services Authority, FSA Ombudsman Scheme and Investors Compensation Scheme and former General Editor of the Journal of Financial Regulation and Compliance, UK
- **Professor Jackie Harvey**, Professor of Financial Management and Director of Business Research, Newcastle Business School, Northumbria University, UK
- **Professor Yasunobu Sato**, Professor of Law and Director of the Human Security Programme, University of Tokyo, Japan
- **Mr Thomas Spies**, of Counsel, Ernst and Young GmbH and former Counsel and Senior Manager, Regulatory Service Group, KPMG, Germany
- **Professor Fletcher Baldwin**, Chesterfield Smith Professor of Law and Director, Centre for International Financial Crimes Studies, University of Florida, USA
- **Mr Rowan Bosworth-Davies**, Consultant and Lecturer in Financial Crime, BPP University, UK

- **Mr Tom Lloyd**, Chairman, Whistleblowers, UK and former Chief Constable of Cambridgeshire Constabulary, UK
- **Dr Domitilla Vanni di San Vincenzo**, Lecturer in Comparative Law, University of Palermo, Italy

PARALLEL PLENARY WORKSHOP III – 16:15 to 18:00

Financial Regulation after the Great Recession: The New Landscape

Chair: **Professor Jim Fisher**, Shaughnessy Fellow, Emerson Ethics Center and Chair, Department of Marketing, John Cook School of Business, Saint Louis University, USA

Professor Muhammad Islam, Department of Economics, John Cook School of Business, Saint Louis University, USA

Professor Jim Gilsinan, Des Lee Endowed Collaborative Vision Professor and formerly Dean of the College of Professional Studies, Saint Louis University, USA

Professor Wassim Shahin, Dean and Professor of Business Economics, Lebanese American University, Lebanon

18:30

Cocktails in the Marquee

Dinner in Hall and Upper Hall, Jesus College

After-dinner Addresses by **The Rt Hon Dominic Grieve PC, QC MP**, Member of Parliament, Chairman of the Joint Committee of the House of Commons and House of Lords on National Security Strategy, former Attorney General of England and Wales and Advocate General for Northern Ireland and former Shadow Secretary of State for the Home Department and Justice and **The Rt Hon Lord Justice Rupert Jackson PC**, Lord Justice of Appeal, England and Wales and Honorary Fellow of Jesus College, Cambridge, introduced by **The Hon Justice Olayinka Faji**, Judge of the Federal High Court, Nigeria with a vote of thanks proposed by **Dr Jacob Eisler**, Fellow and Lecturer in Law, Jesus College, Cambridge, UK

Tuesday, 5th September 2017

08:00

Session II: Compelling threats to our financial stability, security and prosperity – which we need to give more attention to!

Chair: **The Hon Mrs Judith Jones-Morgan**, Attorney General, St Vincent and the Grenadines

- **Mr David Leung, SC**, Deputy Director of Public Prosecutions, Department of Justice, Hong Kong SAR, People's Republic of China
- **Mr Tom Keatinge**, Director, Centre for Financial Crime and Security Studies, Royal United Services Institute (RUSI) and formerly Managing Director, Financial Institutions Group and Head of European Public Sector, J.P. Morgan, UK
- **The Hon Ms Mami Indo**, Commissioner, Securities and Exchange Surveillance Commission, Japan
- **Mr Stefan Gannon**, Commissioner, Resolution Office, Hong Kong Monetary Authority, Hong Kong SAR, People's Republic of China
- **Mr Guy Wilkes**, Partner, Mayer Brown LLP London, UK
- **Professor Leonid Fituni**, Director of Strategic and Global Studies, Russian Academy of Sciences, Moscow, Russia
- **Mr Tom Newkirk**, Partner, Jenner & Block LLP and former Associate Director, Division of Enforcement, Securities and Exchange Commission, USA
- **Mr Nadim Kyriakos-Saad**, Senior Counsel, International Monetary Fund, Washington DC, USA
- **Ms Rosalind Chong**, Chief Risk Officer, The Bank of Tokyo-Mitsubishi UFJ Ltd and former Deputy Public Prosecutor and former State Counsel, Commercial Affairs Department, Legal Service, Singapore
- **Mr Sean O'Malley**, Vice President, Chief Investigator – Enforcement, Federal Reserve Bank of New York, USA
- **Mr Jiayang Kenneth Chin**, Deputy Public Prosecutor, Financial and Technology, Attorney General's Chambers, Singapore
- **Mr Dave Porter**, Associate Partner, Cognitive Solutions, IBM, UK
- **Lic Zenón Biagosch**, President, Fides Net, Director, Business School, Pontifical Catholic University, Argentina and former Vice President, Central Bank of Argentina, Argentina
- **Mr Joseph Amoah-Awuah**, Assistant Director, Bank of Ghana
- **Ms Susan Galli**, Consultant and former Managing Director, Advisory Services Risk and Regulatory, PwC, USA
- **The Hon Marcelo Aguinsky**, National Judge (Economic Crime), Judicial Service of Argentina, Argentina
- **Dr Stephen Strickland**, Director, Anti-Financial Crime, Head of AML/CTF Financial Intelligence Unit (UK & CHI), DBOI Global Services (UK) Ltd
- **Mr Madzlan bin Mohamed Hussain**, Partner and Head, Islamic Financial Services Practice, Zaid Ibrahim & Co and former General Counsel, Islamic Financial Services Board, Malaysia

PARALLEL PLENARY WORKSHOP IV - 08:00 to 10:45

Legal Professional Privilege

Convener: **Mr John Gibson**, Case Controller, Serious Fraud Office of England, Wales and Northern Ireland, UK

10:45 Coffee

11:00 Session III: The old threats – but in new guises

Chair: **Ms Rosalind Wright**, former Director of the Serious Fraud Office of England, Wales and Northern Ireland, Chairman of the Fraud Advisory Panel and Master of the Bench of the Middle Temple, UK

- **The Hon Judge Antonio Balsamo**, Deputy Prosecutor General of the Italian Supreme Court and Judge of the Court of Cassation, Italy and Member of the Scientific Committee of the Consiglio Superiore della Magistratura, Italy and Judge of the Court of Assizes, Palermo, Sicily, Italy
- **The Hon Justice Olayinka Faji**, Judge of the Federal High Court, Nigeria
- **Mr Alun Milford**, General Counsel, Serious Fraud Office of England, Wales and Northern Ireland
- **Colonel Grant Newsham**, former Executive Director, Corporate Security, Morgan Stanley (Japan) Securities Ltd
- **Mr Kenneth Murray**, Head of Forensic Accountancy, Police Scotland, UK
- **Mr Christopher Conroy**, Chief, Major Economic Crimes Bureau, New York County District Attorney's Office, USA
- **Mr Magnus Arvidson**, Head of Unit, Special Enforcement, Swedish Enforcement Authority, Sweden
- **Professor Philip Rutledge**, Partner, Bybel and Rutledge LLP, Visiting Professor of Securities Law, BPP University and former Chief Counsel, Pennsylvania Securities Commission, USA
- **Professor Eisuke Nagatomo**, Waseda Graduate University, Japan, President and CEO, EN Associates Co. Ltd and former Managing Director and Chief Regulatory Officer, Tokyo Stock Exchange, Japan
- **Mr Ian M. Comisky**, Partner, Fox Rothchild LLP, Philadelphia and former Assistant US Attorney, Southern District of Florida, USA
- **Professor Wassim Shahin**, Dean and Professor of Business Economics, Lebanese American University, Lebanon
- **Mr Raul Saccani**, Partner, Forensic Services, Deloitte, Argentina
- **Mr Daniel Tannebaum**, Partner and Global Financial Services Sanctions Leader, PricewaterhouseCoopers, USA
- **Mr Clark Abrams**, Assistant District Attorney and Chief, Money Laundering and Financial Investigations Unit, Office of the Special Narcotics Prosecutor, City of New York, USA
- **The Hon Dr Yamile Bernan**, Investigative Judge, Criminal Division, Judicial Service of Argentina, Argentina
- **Mr Sarabjit Singh**, former Director General, Bureau of Police Research and Intelligence, Indian Police Service, New Delhi, India

PARALLEL PLENARY WORKSHOP V – 11:00 to 12:45

Regulatory risk and personal liability: the new role for senior managers, in-house counsel and compliance officers in preventing and controlling regulatory breaches and economic crime

Organised under the auspices of the **Bar Association for Commerce Finance and Industry, UK**

Chair: Professor Stuart Bazley, Barrister, Professor in Financial Regulation and Compliance, BPP University and Director Medius Consulting, UK

Ms Christiane Valansot, Barrister and Master of the Bench of the Middle Temple, former General Counsel, Investment Management Association and chair of the Bar Association for Commerce, Finance and Industry, UK
Ms Tricia Howse, Master of the Bench of Gray's Inn, former Adviser to the Attorney General of the UK, National Fraud Strategy; Senior Legal Adviser, HM Customs and Excise; Assistant Director and Head of Policy and International Affairs, Serious Fraud Office for England, Wales and Northern Ireland; Special Adviser to the Bank of England and Head of Investigations and Prosecutions, Financial Services Authority, UK

Mr Patrick Rappo, Partner, International Regulation and Compliance Department, Steptoe & Johnson London, and former Joint Head of the Bribery and Corruption Divisions, Serious Fraud Office of England, Wales and Northern Ireland, UK

Mr Ayah Elmaazi, Barrister and senior legal counsel to Coutts & Co, formerly of the General Counsel Division and Enforcement Division at the Financial Services Authority and Regulatory Action Division, Prudential Regulation Authority, UK

PARALLEL PLENARY WORKSHOP VI – 11:00 to 12:45

New threats in the area of money laundering risk

Chair: **Mr Stefan Cassella**, Asset Forfeiture Law LLC and former Chief, Asset Forfeiture and Money Laundering Section, Office of the US Attorney, Baltimore, USA

Professor Peter H. Reuter, Professor, School of Public Policy and Department of Criminology, University of Maryland, USA

Mr Jack Williams, President, ERAD Group LLC, USA

Mr Joseph Hanvey, Director, Protiviti, AML Strategic Leadership Group, New York, USA

Mr Courtney J. Linn, General Counsel and Senior Vice President, Golden 1 Credit Union, Sacramento, USA

12:45 **Lunch** in Hall and Upper Hall, Jesus College

13:45 **Session IV: What are the proper responsibilities of those who manage and control our institutions in identifying and reacting to threats?**

Chair: **Mr Mark Turkington**, UK Head of Sanctions, HSBC and former Senior Manager Australian AML, Bribery and Sanctions Compliance, National Australia Bank, Australia

- **Mr David Kirk**, Partner, McGuireWoods LLP, Chairman of the Fraud Advisory Panel and former Chief Criminal Counsel, Enforcement and Financial Crime, Financial Services Authority, UK
- **Mr Stefan Cassella**, Asset Forfeiture Law LLC and former Chief, Asset Forfeiture and Money Laundering Section, Office of the US Attorney, Baltimore, USA
- **Mr David Johnston**, Managing Director, Yaana Ltd and former Head, The National Technical Assistance Centre (NTAC), GCHQ UK and former Commander, Special Operations, Metropolitan Police, UK
- **Professor Caroline Bradley**, Professor of Law, School of Law, University of Miami, Florida, USA
- **Dr Ana Rita Duarte Campos**, Lawyer, Morais Leitão, Galvão Teles, Soares Da Silva, Soc. Advogados R.L., Portugal
- **Mr Michael Weis**, Partner, Forensic Services and Financial Crime Leader, PwC Luxembourg
- **Mr Adam Mansour**, Advisor to the General Manager, First National Bank First National Bank Sal, Lebanon
- **The Hon Dr Pablo Yadarola**, National Judge (Economic Criminal), Judicial Service of Argentina, Argentina
- **Ms Emily Cole**, Partner, Miller Thomson, Toronto, Canada
- **Professor Hans Tjio**, Professor of Law, National University of Singapore and Member of the Securities Industry Council of Singapore and formerly adviser to the Monetary Authority Singapore
- **Mr Anders Danielsson**, Police Affairs Officer and Adviser on Police Reform, OSCE, Transnational Threats Department, Strategic Police Matters Unit, Sweden
- **Ms Niamh Mulholland**, Associate Director, KPMG Regulatory Advisory Services and former EU and International Unit, Markets Policy Division, Central Bank of Ireland
- **Paul Gully-Hart**, Partner, Schellenberg Wittmer, Switzerland
- **Dr Mariana Currais**, Criminal Trial Court, Judicial Service of Argentina, Argentina
- **Mr Alistair Graham**, Partner, Mayer Brown LLP, UK

PARALLEL PLENARY WORKSHOP VII – 13:45 to 16:00

The role of the media in holding those who are supposed to be protecting us to account

Chair: **Mr Michael Ricks**, Managing Director, Enquire International Ltd, UK

Mr Chris Blackhurst, Executive Director, CTF Partners and former Editor, The Independent, UK

Ms Angeline Torres, consultant and independent journalist, Manila, Philippines

Mr Richard Brooks, Private Eye columnist and author, UK

Ms Irene Madongo, Journalist, Zimbabwe and UK

Ms Margarita Woyciechowsky de Tablante, Journalist and Editor of CuentasClarasDigital.org, Venezuela

PARALLEL PLENARY WORKSHOP VIII – 13:45 to 16:00

Financial crime prosecutions in an increasingly digital and global landscape: challenges and strategies

Convener: **Mr Christopher Conroy**, Chief, Major Economic Crimes Bureau, New York County District Attorney's Office, USA

Mr Michael Sachs, Chief, Investigations Division, New York County District Attorney's Office, USA

16:00 **Tea**

PLENARY WORKSHOP IX– 16:15 to 17:15

U.S. International tax enforcement and compliance

Chair: **Mr Ian M. Comisky**, Partner, Fox Rothschild LLP, Philadelphia, PA, Chair of International Enforcement and former Assistant U.S. Attorney, Southern District of Florida, USA

Ms Caroline D. Ciraolo, former Acting Assistant Attorney General, Tax Division, US Department of Justice, Washington, D.C., USA

Mr Larry A. Campagna, Partner, Chamberlain, Hrdlicka, White, Williams & Aughtry, Houston, Texas, USA

Ms Miriam Fisher, Partner, Latham & Watkins, LLP, Washington, D.C., Global Chair of Tax Controversy, and former Special Assistant to the Assistant Attorney General for the Tax Division, US Department of Justice, Washington, D.C., USA

Mr Nathan Hochman, Partner, Morgan, Lewis & Bockius LLP, Los Angeles, CA, and former Assistant Attorney General, Tax Division, US Department of Justice, Washington, D.C., USA

PLENARY WORKSHOP X– 16:15 to 17:15

The impact of development aid on tackling corruption – money well spent?

Chair: **Dr Ingrida Kerusauskaite**, International Development Assistance Services, KPMG LLP, London, UK

Sir Ian Andrews, Senior Advisor to Transparency International's Defence and Security programme; Senior Independent Director of NHS Digital and chair of the Information Assurance and Cyber Security Committee and former Non-Executive Chairman of the UK Serious Organised Crime Agency

Professor Paul Heywood, Sir Francis Hill Professor of European Politics and Programme Lead, School of Politics and International Relations, University of Nottingham, British Academy and Department for International Development, UK Government, Anti-Corruption Evidence Programme, UK

Mr Anthony Ellis, Founding Director and CEO, Integrity, UK

PLENARY WORKSHOP XI – 16:15 to 17:15

Compliance Sanctions

Mr Michael O'Kane, Senior Partner and Head of Business Crime, Peters and Peters LLP, London, UK

Mr Sunny Mann, Partner, Baker Mackenzie, London, UK

Mr Roger Matthews, Partner, Dechert LLP, London, UK

Mr Erich Ferrari, Partner, Ferrari & Associates, Washington DC, USA

PLENARY WORKSHOP XII– 16:15 to 18:30

Corporate Criminal Liability – issues and developments

Ms Hannah Von Dadelszen, Joint Head of Fraud, Serious Fraud Office of England, Wales and Northern Ireland, UK

Mr Jon Mack, Principal Investigative Lawyer, Serious Fraud Office of England, Wales and Northern Ireland, UK

WORKSHOP 1 – 17:30-18:30

FinTech and Financial Crime: assessing the landscape

Ms Gemma Rogers, Director, Fintrail, London, UK

Mr Rob Evans, Director, Fintrail, London, UK

Rebecca Marriott, Consultant, Fintrail, London, UK

Mr David Carlisle, Consultant, UK

Dr Florence Keen, Research Analyst, Centre for Financial Crime and Security Studies, Royal United Services Institute (RUSI), UK

WORKSHOP 2 – 17:30-18:30

Improving the efficacy of AML Compliance

Mr Jonathan Pickworth, Partner, White and Case LLP, London, UK

Mr Stéphane de Navacelle, Member of the Paris and New York Bars

WORKSHOP 3 – 17:30-18:30

Practical issues in discovering and controlling economic crime in the Middle East

Mr Julian Critchlow, Principal, Al Bawardi Critchlow, London, UK

Mr Peter Gray, Kingsgrove Partners, in association with Al Bawardi Advocates and Legal Consultants, Dubai, United Arab Emirates

WORKSHOP 4 – 17:30-18:30

High end money laundering and the role of professional enablers

Dr Katie Benson, Research Associate, School of Law and Administration, University of Manchester, UK

Dr Liz David-Barrett, Senior Lecturer in Politics University of Sussex, UK

Mr Mike McNair, Detective Inspector, Metropolitan Police, UK

Mr Sam Eastwood, Partner, Norton Rose Fulbright, UK

<u>WORKSHOP 5 – 17:30-18:30</u> The nature of corruption: a rethink! Professor Anona Armstrong, Professor of Corporate Governance, Centre for International Corporate Governance Research, Faculty of Business and Law, Victoria University, Australia Professor Ronald D. Francis, Professorial Fellow in Governance and Ethics, Centre for International Corporate Governance Research, Faculty of Business and Law, Victoria University, Australia	<u>WORKSHOP 6 – 17:30-18:30</u> Intelligence sharing in the new criminal environment Mr Christopher Anderson, Managing Director, Financial Crime Intelligence Ltd, UK Mr Angus Naismith, Detective Sergeant, Metropolitan Police, UK Mr David Kirk, Partner, McGuireWoods LLP, Chairman of the Fraud Advisory Panel and former Chief Criminal Counsel, Enforcement and Financial Crime, Financial Services Authority, UK
<u>WORKSHOP 7 – 17:30-18:30</u> Size matters in the world of tax – do we or should we treat everyone the same? Mr Tarrant Green, Fellow of the Institute of Chartered Accountants in England and Wales and Tax Consultant and Honorary Treasurer of the British Institute of Securities Laws, UK Mr Hernan Gianni, Deputy Director General, Revenue Department of Argentina Mrs Julie Zhuge Wilson, Partner, UHY Hacker Young LLP, UK and China, Fellow of the Institute of Chartered Accountants in England and Wales, UK and People's Republic of China Ms Shenshen Lin, Senior Associate, Trade and Customs, PwC LLP, London, UK	<u>WORKSHOP 8 – 17:30-18:30</u> Financing Terrorism – a different perspective! Mr Buno Emmanuel Nduka, Director of Programs and Projects, Inter-Governmental Action Group against Money Laundering and Terrorism Financing in West Africa (GIABA) Dr Jeffery Isima, Principal Officer, Research and Planning, Inter-Governmental Action Group against Money Laundering and Terrorism Financing in West Africa (GIABA) Professor Kwesi Aning, Director of Research, Koffi Annan Centre, Ghana Ms Nkechikwu Valerie Azinge, Researcher, School of Law, University of Warwick, UK
<u>WORKSHOP 9 – 17:30-18:30</u> Corporate vehicles and the organisation of serious financial crimes Chair: Dr Nicholas Lord, Senior Lecturer in Criminology, Centre for Criminology and Criminal Justice, School of Law, University of Manchester, UK Professor Liz Campbell, Professor of Criminal Law, School of Law, Durham University, UK Dr Karin van Wingerde, Dean of Education, School of Law, Erasmus University Rotterdam, The Netherlands Professor Michael Levi, Professor of Criminology, School of Social Sciences, Cardiff University, UK	<u>WORKSHOP 10 – 17:30-18:30</u> Sharia and investor protection – the practical issues Dr Lu'ayy Al-Rimawi, Programme Leader and Lecturer in Islamic Finance Law, BPP University and former Visiting Fellow, Harvard Law School, USA and Consultant, UK

19:00 **Cocktails** in the Marquee

Dinner in Hall and Upper Hall, Jesus College

After-dinner Addresses by **Alderman Sir David Wootton**, Alderman of the City of London, former Lord Mayor and Sheriff of the City of London, Partner, Allen and Overy LLP and Honorary Fellow, Jesus College, Cambridge, UK, **His Excellency Mr R. Carlos Sersale di Cerisano**, Ambassador Extraordinary and Plenipotentiary of Argentina to the Court of St James and **The Hon Professor GL Peiris**, former Minister of Justice and Constitutional Affairs, International Trade and Deputy Minister of Finance, Sri Lanka Introduced by **Mr Mark Blandford-Baker**, Bursar and Fellow, Magdalene College, University of Oxford with a vote of thanks by **Mr Dominic Gibbs**, Chief Operating Officer, The Cayzer Trust Company Limited and Researcher, the Institute of Advanced Legal Studies, University of London, UK

Wednesday, 6th September 2017

08:00 **Session V: How can we improve the criminal justice system and make it more effective?**

Chair: **Mr Oliver Sells QC**, 5 Paper Buildings, Master of the Bench of the Inner Temple and Recorder of the Crown Court, England and Wales, UK

- **Mr John Moscow**, Partner, Baker & Hostetler, USA and former Assistant District Attorney, Office of the District Attorney of New York, USA
- **Mr Patrick Stevens**, International Director, Crown Prosecution Service for England and Wales, UK
- **Dr Justine Walker**, Director, Financial Crime, (Sanctions and Bribery), British Bankers Association, UK
- **Mr John Reading SC**, Head of Pacific Chambers and former Deputy Director of Public Prosecutions, Hong Kong SAR, People's Republic of China

- **Ms Caroline D. Ciraolo**, Assistant Attorney General, Tax Division, United States Department of Justice, Washington, D.C., USA
- **Mr Reginald Rhoda QC**, Master of the Bench of the Inner Temple, former HM Attorney General for Gibraltar and currently Senior Counsel to the Government of Gibraltar
- **Mr Augusto Garrido**, Partner, Cuneo Libarona Abogados, Buenos Aires, Argentina
- **Mr Arthur Middlemiss**, Partner, Lewis, Baach, Kaufmann, and Middlemiss, former District Attorney, New York County District Attorney's Office, USA
- **Mr Nicholas Stevens**, Detective Superintendent, Head, Serious and Organised Crime Command Criminal Finance, Metropolitan Police, UK
- **Dr Shazeeda Ali**, former Technical Advisor and Assistant Attorney General, Jamaica and Deputy Dean and Lecturer in Law, University of the West Indies, Jamaica
- **Mr Tony Wicks**, Director, Compliance Services, SWIFT, UK
- **Mr Fernando Dapero**, President, Caledonia Argentina Compania de Seguros S.A, Argentina
- **Mr Jyoti Trehan**, Inspector General of Police (retired), Indian Police Service and former senior official of the General Secretariat, ICPO-Interpol and Central Bureau of Investigation, India
- **Mr David Fitzpatrick**, Barrister, England and Wales and Hong Kong and former Senior Crown Counsel, Hong Kong SAR, People's Republic of China
- **Mr Frederic Raffray**, Crown Advocate, Guernsey
- **Ms Sharon Craggs**, Head of Compliance, Bank ABC, Kingdom of Bahrain
- **Ms Georgina Halford-Hall**, CEO, Whistle-blowers UK
- **Mr Kola Awodein**, Senior Advocate, Nigeria
- **Ms Sunita Masigani**, Compliance Officer, Danske Bank, London, UK

10:30 **Coffee**

10:45 **Session VI: The international dimension – how can we better co-operate?**

Chair: **Professor Leonid Fituni**, Director of Strategic and Global Studies, Russian Academy of Sciences, Moscow, Russia

- **The Hon Mrs Judith Jones-Morgan**, Attorney General, St Vincent and the Grenadines
- **Mr Yehuda Shaffer**, Deputy State Attorney (Financial Crime), Israel
- **Dr Peter German**, Consultant and former Deputy Commissioner and Director General of the Financial Crime Division, Royal Canadian Mounted Police and Regional Deputy Commissioner, Correctional Service, Government of Canada
- **Mr Jeffrey Bryant**, Crown Advocate, Proceeds of Crime Unit, Crown Prosecution Service for England and Wales, UK
- **Mr Shunong Wu**, Deputy Chief Prosecutor, People's Procuratorate of Shenzhen Shekou, People's Republic of China
- **Dr Andres Ballota**, Head of Administration, Revenue Service of Argentina, Argentina
- **Professor David Chaikin**, Associate Professor of Law, University of Sydney Business School, Barrister, and formerly of the Australian Federal Attorney-General's Department and the Commonwealth Secretariat
- **Mr Stephen Sterling**, Assistant Crown Attorney, Guns and Gangs Taskforce, Ministry of the Attorney General, Canada
- **Dr Cécile Ringgenberg**, founder, Law Office of C Ringgenberg, Geneva, Switzerland
- **Mr John Tobon**, Deputy Special Agent in Charge, Homeland Security Investigations, Florida, USA
- **Dr Alain Sham**, Deputy Director of Public Prosecutions and Head of Corruption and Commercial Crime, Department of Justice, Hong Kong SAR, People's Republic of China
- **Mr George Stamboulidis**, Partner, Baker Hostetler, USA
- **Mr K Olusuyi Adaramewa**, CEO and Lead Consultant, Gibraltar Consult & Chambers Ltd, Nigeria
- **The Hon Dr Santiago Quian Zavalía**, Investigative Judge (Criminal Division, Judicial Service of Argentina, Argentina
- **Mr Michael Sachs**, Chief, Investigations Division, New York County District Attorney's Office, USA

PARALLEL PLENARY WORKSHOP XIII – 10:45 to 12:30

The role and responsibilities of international organisations in preventing economic crime and protecting their stakeholders

Chair: **Mr Paul Kearney**, Director and Enforcement Commissioner, European Bank for Reconstruction and Development, London, UK

Mr Don De Amicis, Member of the Sanctions Boards of the European Bank for Reconstruction and Development and the Inter-American Development Bank, Washington DC, USA

Mr Yves Fortier, Chairman of the Sanctions Board of the European Bank for Reconstruction and Development, Montreal, Canada

Mr Jeffrey Green, Partner, Sidley Austin LLP, Washington DC, USA

Ms Judy Krieg, Partner, Shepherd and Wedderburn, London, UK

Ms Rae Lindsay, Partner, Clifford Chance LLP, London, UK
Ms Lisa Rosen, Chief Compliance Officer, European Bank for Reconstruction and Development, London, UK
Professor Jessica Tillipman, Assistant Dean and Professorial Lecturer in Law,
George Washington University Law School, Washington DC, USA

PARALLEL PLENARY WORKSHOP XIV – 10:45 to 12:30

Economic penalties and the US sentencing guidelines

Professor Lisa Rich, Associate Professor of Law, School of Law, Texas A&M University, USA
Ms Caroline Lynch, former Chief Counsel to the House Judiciary Committee and Sub-committee on Crime, Terrorism and Homeland Security, US Congress, USA
The Hon Ricardo Hinojosa, Chief Judge, US District for the Southern District of Texas and former Chair, US Sentencing Commission, USA
Mr Michael F. Zeldin, Special Counsel, Buckley Sandler LLP and formerly Principal and AML lead, Deloitte Touche Tohmatsu and Deputy Chief of the Narcotics and Dangerous Drugs Section, Director of the Money Laundering Office and Special Counsel to the Assistant Attorney General (Criminal Division), US Department of Justice, USA

PARALLEL PLENARY WORKSHOP XV – 10:45 to 12:30

Leading Complex Investigations – the practical issues

Mr Tom Martin, Case Controller, Serious Fraud Office of England, Wales and Northern Ireland, UK
Mr Paul Brinkworth, Case Controller, Serious Fraud Office of England, Wales and Northern Ireland, UK

13:00 **Lunch** in Hall and Upper Hall, Jesus College

14:00 **Session VII: Co-operation with and between the private sector**

Chair: **Mr Ian Mynot**, Head of Partnerships and Threat Reduction, Economic Crime Command, National Crime Agency, UK

- **Mr Mark Steward**, Head of Financial Crime and Market Oversight, Financial Conduct Authority, UK
- **The Hon John Maher III**, Member and Speaker *pro tempore*, House of Representatives, Commonwealth of Pennsylvania and Vice Chairman, Centric Bank, USA
- **Dr Marcelo Ruiz**, Senior Partner, Canguero Ruiz Abogados and former Minister Counsellor, Argentine Embassy, UK
- **Mr Paul Hauser**, Partner, Bryan Cave LLP, UK
- **Mr P Mukundan**, Director, Commercial Crimes Services, International Chamber of Commerce, UK
- **Ms Polly Sprenger**, Of Counsel, Eversheds LLP, London, UK
- **Mr Andrew Boye-Doe**, Senior Partner, Ampofo, Bpue-Doe and Company and former Secretary and Director, Bank of Ghana, Ghana
- **Mr Robert Axelrod**, Advisory Managing Director, Deloitte Transactions and Business Analytics LLP, USA
- **Dr Rinita Sarker**, Manager, Economic Crime Command, National Crime Agency, UK
- **Mr Armstrong Sheng Chen**, Partner, King & Wood Mallesons and formerly Deputy Director of the Supervisory Rules and Regulations Department, China Banking Regulatory Commission, Beijing, People's Republic of China
- **Dr Hernan Gianni**, Deputy Director, General Revenue Service of Argentina, Argentina
- **Mr Mark Turkington**, UK Head of Sanctions, HSBC and former Senior Manager Australian AML, Bribery and Sanctions Compliance, National Australia Bank, Australia
- **Dr Robert Vella Baldacchino**, Deputy General Manager, Malta Stock Exchange, Malta
- **Mr Martin Woods**, MLRO, Thomson Reuters, UK
- **Mr John Mair**, Office of the Chief Compliance Officer, The European Bank for Reconstruction and Development, UK
- **Mr Stefan Cassella**, Asset Forfeiture Law LLC and former Chief, Asset Forfeiture and Money Laundering Section, Office of the US Attorney, Baltimore, USA

PARALLEL PLENARY WORKSHOP XVI – 14:00 to 16:00

How can international banks and organisations better police their projects?

Chair: **Mr Chiawen Kiew**, Principal, Investigations Division, European Bank for Reconstruction and Development, London, UK

Ms Teresa Cheng, Chair of the Hong Kong International Arbitration Centre and member of the Sanctions Board of the World Bank Group, Hong Kong SAR, People's Republic of China

Mr Andrew McClarron, Global Head of Compliance, Puma Energy, Switzerland

Mr Omar Qureshi, Partner and Head of Corporate Crime, CMS Cameron McKenna LLP, London, UK

Mr Robert Sikellis, Chief Counsel, Compliance, Siemens, Munich, Germany

PARALLEL PLENARY WORKSHOP XVII – 14:00 to 16:00

Investment miss-selling and economic crime – miss-placed naivety?

Mr John Harris, Director General, Jersey Financial Services Commission, States of Jersey

Ms Emma Martin, Head of Communications, Jersey Financial Services Commission, States of Jersey

Mr Barry Faudemer, Director of Enforcement, Jersey Financial Services Commission, States of Jersey

PARALLEL PLENARY WORKSHOP XVIII – 14:00 to 16:00

The Panama papers – business as usual?

Chair: **Professor Chizu Nakajima**, Co-Chair of the British Japanese Law Association, Deputy Director of the Symposium, Professor of International and Comparative Law, BPP University, and Affiliated Lecturer, University of Cambridge, and Emeritus Professor of Corporate Law and Governance, London Metropolitan University, UK

The Hon Mr John D. McKendrick QC, Attorney General, Anguilla, British West Indies

Mr Saul M Froomkin QC, Symposium Chairman, Director, Head of Litigation, BeesMont Law Limited and former Attorney General of Bermuda

Ms Irene Madonga, Journalist, Zimbabwe and UK

Mr Dominic Thomas-James, Barrister and Researcher, Centre of Development Studies, University of Cambridge, UK

Professor Fletcher Baldwin, Chesterfield Smith Professor of Law and Director, Centre for International Financial Crimes Studies, University of Florida, USA

Professor David Chaikin, Associate Professor of Law, University of Sydney Business School, Barrister, and formerly of the Australian Federal Attorney-General's Department and the Commonwealth Secretariat

Mr Lynwood S. Bell, Director, ai Anguilla Incubator Inc., British West Indies

16:00 Tea

PLENARY WORKSHOP XIX – 16:15 to 17:15

The way forward: next steps in combatting fraud and corruption in development projects

Chair: **Ms Jelena Madir**, Director and Secretary to the Enforcement Committee, European Bank for Reconstruction and Development, London, UK

Mr Yves Fortier, Chairman of the Sanctions Board of the European Bank for Reconstruction and Development, Montreal, Canada

Ms Judy Krieg, Partner, Shepherd and Wedderburn, London, UK

Mr Lee Marler, Barrister and Lead Counsel, Bretton Woods Law, London, UK

Mrs Gulen Newton, former Head of Legal and Compliance Department, Global Fund, Geneva, Switzerland

Mr Robert Sikellis, Chief Counsel, Compliance, Siemens AG, Munich, Germany

PLENARY WORKSHOP XX – 16:15 to 17:15

Deferred Prosecution Agreements

Mr Matthew Wagstaff, Head of Bribery and Corruption, Division A, Serious Fraud Office of England, Wales and Northern Ireland, UK

Ms Emma Luxton, Case Controller, Serious Fraud Office of England, Wales and Northern Ireland, UK

Ms Polly Sprenger, Of Counsel, Eversheds LLP, London, UK

PLENARY WORKSHOP XXI – 16:15 to 17:15

De-risking and money Laundering – cause and effect

Mr Arthur Middlemiss, Partner, Lewis, Baach, Kaufmann, and Middlemiss, former District Attorney, New York County District Attorney's Office, USA

Mr Adam Kaufmann, Partner, Lewis, Baach, Kaufmann and Middlemiss, and former Executive Assistant District Attorney and Chief of the Investigation Divisions, New York County District Attorney's Office, USA

Mr Sean O'Malley, Vice President, Chief Investigator – Enforcement, Federal Reserve Bank of New York, USA

Mr John Melican, Managing Director and Americas Chair, Exiger LLC, USA

PLENARY WORKSHOP XXII – 16:15 to 17:15

Tax compliance and tax privacy a US perspective

Professor Gary Lucas, Professor of Law, Texas A&M University School of Law, USA

Professor Michael Hatfield, Professor of Law, University of Washington School of Law, USA

Professor Adam Thimmesh, Assistant Professor of Law, University of Nebraska College of Law, USA

Professor Kathleen Delaney Thomas, Associate Professor of Law, University of North Carolina School of Law, USA

WORKSHOP 11 – 17:30-18:30

Optimum structures for financial regulation and supervision

Mr Mark Turkington, UK Head of Sanctions, HSBC and former Senior Manager Australian AML, Bribery and Sanctions Compliance, National Australia Bank, Australia

WORKSHOP 12 – 17:30-18:30

Compliance in Islamic financial institutions

Mr Blake Goud, CEO, Responsible Finance & Investment (RFI) Foundation, Switzerland

WORKSHOP 13 – 17:30-18:30

Laundering the proceeds of corruption: the case of the London property market

Dr Colin King, Senior Lecturer in Law, University of Sussex, UK

Mr Steve Goodrich, Senior Research Officer, Transparency International, UK

WORKSHOP 14 – 17:30-18:30

Beneficial ownership of entities: FATF recommendation 33, the practical issues

Mr William Clark, Jr, Chair of the Task Force of the American Bar Association on Gatekeeper Regulation and the Profession, Reporter for the Uniform Law Enforcement Access to Entity Information Act

and of Counsel, Drinker, Biddle and Reath LLP, USA

Ms Laurie Smiley, Co-chair of the Beneficial Ownership Transparency Task Force of the Committee on Corporate Laws, ABA Business Law Section, Non-executive Director, Alta Advisors Ltd and Maywood Asset Management Pte. Ltd, London, UK

Professor William Henning, Executive Professor of Law, School of Law, Texas A&M University, USA

WORKSHOP 15 – 17:30-18:30

Fighting Corruption in Nigeria: what does the future hold?

Mr Sirajo Yakubu, Researcher, Institute of Advanced Legal Studies School of Advanced Study, University of London, UK

The Hon Ahmad Usman El-Marzuq, Attorney General and Commissioner for Justice, Katsina State, Nigeria

The Hon Dr Ngozika Okaisabor, Justice of the Customary Court of Appeal, Federal Capital Territory, Nigeria

Mr Hassan Muhammad Liman, Senior Advocate, Principal Partner, Liman-Liman and Co., Nigeria

WORKSHOP 16 – 17:30-18:30

Cybercrime and organised crime

Professor William Tupman, Visiting Professor of Criminal Justice, BPP University and Research Fellow, University of Exeter, UK

Dr Anita Lavorgna, Lecturer in Criminology, University of Southampton and Member of Executive Committee Standing Group on Organised Crime, UK

Dr Helena Farrand-Carrapico, Lecturer in Politics and International Relations and Deputy Director, Aston Centre for Europe, Aston University and Member of Executive Committee Standing Group on Organised Crime, UK

WORKSHOP 17 – 17:30-18:30**Concurrent criminal/civil proceedings - the issues****Mr George Barbary**, Investigative Lawyer, Serious Fraud Office of England, Wales and Northern Ireland, UK**WORKSHOP 18 – 17:30-18:30****Mass data fishing expeditions. An epistemological rupture?****Dr Frank Madsen**, Affiliated University Lecturer, Centre for Development Studies, University of Cambridge and former senior official, General Secretariat, ICPO-Interpol**Alternative Programme – Wednesday 6th and Thursday 7th September 2017****One Belt One Road Initiative – the new Silk Road****The road to sound, stable and honest business**Hosted by **UK Sichuan Business Association**

Sponsored by

Sichuan Provincial Federation of Industry and Commerce, People's Republic of China**Sichuan Provincial Investment Service, People's Republic of China****Sichuan Provincial Department of Commerce, People's Republic of China****Sichuan Provincial Chamber of Commerce, People's Republic of China****West Court, Jesus College, University of Cambridge****09:00****Welcome extended by:**

- **Chairman Professor Chen Fang**, President of the Federation of Industry and Commerce (Sichuan), People's Republic of China
- **Mrs Wenli Song**, President of UK Sichuan Business Association; Special Representative, Department of Commerce, Provincial Government of Sichuan; Executive Chairman of the Sichuan Wanhui Printing Co. Ltd, Sichuan Wanhui Cultural Investment Co. Ltd; Enhui Holdings (Hong Kong) Limited and Sichuan Bazhong Tanghu Foreign Language School, People's Republic of China
- **Mr Saul M Fromkin QC**, Symposium Chairman

09:30**Opening Addresses:**

- **The Hon Mr Jin Xu**, Minister Counsellor, Embassy of China, London, UK
- **The Hon Professor Ye Feng**, Wenlan Scholar and Professor of Law, Zhongnan University of Economics and Law, former Secretary General, International Association of Anti-Corruption Authorities, Qatar and International Association of Prosecutors and Director General, Supreme People's Procuratorate of the People's Republic of China
- **Professor Peter Nolan**, The Chong Hua Professor in Chinese Development, founding Director of the Centre of Development Studies, Director of the Chinese Executive Leadership Programme, University of Cambridge and Professorial Fellow, Jesus College, Cambridge, UK

11:00**Session 1: The Silk Road in context – the modern political, economic and social factors**

- Chair: **Mrs Wenli Song**, President of UK Sichuan Business Association; Special Representative, Department of Commerce, Provincial Government of Sichuan; Executive Chairman of the Sichuan Wanhui Printing Co. Ltd, Sichuan Wanhui Cultural Investment Co. Ltd; Enhui Holdings (Hong Kong) Limited and Sichuan Bazhong Tanghu Foreign Language School, People's Republic of China

Leading experts from the People's Republic of China will join the following speakers

- **Alderman Sir Michael Bear**, Alderman of the City of London and Chairman of the Privileges Committee of the Court of Alderman and former Lord May and Sheriff of the City of London,
- **Mr Oleg Preskin**, Vice President of the Association of Russian Banks, Russian Financial and Banking Union Deputy Chairman, Member of the Coordinating Committee of Financial and Banking, Association for Euro-Asian Cooperation, Moscow, Russia
- **Dr Marcelo Ruiz**, Senior Partner, Canguero Ruiz Abogados and former Minister Counsellor, Argentine Embassy, UK
- **Mr Richard Pascoe**, Director, The Great Britain-China Centre, former Director of China Policy Institute, University of Nottingham and Manager (China), Reuters, UK
- **Mr George Littlejohn**, Senior Adviser, Chartered Institute for Securities and Investment and former Journalist, The Economist, UK
- **Mr Richard Page**, former Member of Parliament, Minister, Department of Trade and Industry; Member of the Office of the Deputy Prime Minister; Public Accounts Committee and Chairman of the Parliamentary and Scientific Committee, House of Commons, UK
- **Professor Chizu Nakajima**, Deputy Director of the Symposium, Professor of Comparative Law, BPP University and Affiliated University Lecturer, University of Cambridge and Emeritus Professor of Corporate Law and Governance, London Metropolitan University and Co-Chair of the British Japanese Law Association, UK

13:00

Lunch

14:00

Session 2: Building the new Silk Road

Chair: **Mr Nicholas Walmsley**, Deputy Director of the Symposium and Director, Deutsche Bank AG London, UK

Leading experts from the People's Republic of China will join the following speakers

- **Mr Iain Wright MP**, Member of Parliament, Chairman, Business, Innovations and Skills Select Committee, House of Commons and former Parliamentary Under-Secretary of State, Department for Communities and Local Government, UK
- **Professor Barry Rider**, Professor of Comparative Law, Renmin University, Beijing, Professorial Fellow, Centre for Development Studies, University of Cambridge and Co-Chairman of the Symposium
- **Mr Huang Yong**, Director General, Europe Regional Bureau of Xinhua News Agency, People's Republic of China
- **Councillor Christopher Hayward**, Member, Common Council of the City of London and Chairman of the Planning and Transportation Committee and Member of the Police Committee of the City of London, UK
- **Mr Richard Parlour**, Principal, Financial Markets Law International and Chairman, Home Affairs Committee, Federation of Small Businesses, UK
- **Professor Dayanath Jayasuriya**, President's Counsel and former Chairman, Securities and Exchange Commission of Sri Lanka and Consultant to the International Compliance Association
- **Professor Antonello Miranda**, Professor of Comparative Law and Dean of the School of Social Sciences, University of Palermo, Italy
- **Dr Richard Alexander**, Lecturer in Financial Law, School of Oriental and African Studies, University of London and former consultant to the Inter-Governmental Action Group against Money Laundering and Terrorism Financing in West Africa (GIABA)

16:00

Tea

16:15

Session 3: Making sure China's Legal System is fit for purpose

Chair: **Mr Michael Ashe QC SC**, 9 Stone Buildings, London, Co-Chairman of the Symposium, Recorder of the Crown Court, England and Wales and Master of the Bench of the Middle Temple, UK

Leading experts from the People's Republic of China will join the following speakers

- **The Hon Justice William Blair**, Judge in Charge of the Commercial Court of England and Wales and Master of the Bench of Lincoln's Inn, UK
- **Sir David Wootton PC**, Alderman of the City of London, former Lord Mayor and Sheriff of the City of London, Partner, Allen and Overy and Honorary Fellow, Jesus College, Cambridge, UK
- **Mr Tom Newkirk**, Partner, Jenner & Block LLP and former Associate Director, Division of Enforcement, Securities and Exchange Commission, USA
- **Datuk Simon Shim**, Senior Partner, M/S Sim Pang & Co, Malaysia
- **Professor Peter Crisp**, Barrister (England and Wales), Dean of Law and Chief Executive, BPP University, London, UK
- **Dr Montu Saxena**, Centre for Development Studies and Principal Research Associate, Department of Physics, University of Cambridge, Chairperson of the Cambridge Central Asia Forum, Director of Cambridge Kazakhstan Centre and Honorary Secretary of the Committee for Central and Inner Asia and Fellow Commoner, Jesus College, Cambridge, UK
- **Professor He Jiahong**, Director of the Centre for Common Law and Director of the Institute of Evidence, The Law School, Renmin University of China, People's Republic of China
- **Professor Mario Serio**, Professor of Comparative Law, University of Palermo, Italy and former Consigliere, Consiglio Nazionale della Magistratura and Consiglio Superiore della Magistratura, Italy

Dinner in Sidney Sussex College, University of Cambridge

After-Dinner Addresses by **Alderman Sir Michael Bear**, Alderman of the City of London and Chairman of the Privileges Committee of the Court of Alderman and form Lord May and Sheriff of the City of London, **Councillor Wendy Mead**, Chief Commoner of the City of London, Member of the Common Council of the City of London and former Sheriff of the City of London, UK and **The Hon John Maher III**, Member and Speaker *pro tempore*, House of Representatives, Commonwealth of Pennsylvania and Vice Chairman, Centric Bank, USA introduced by **Ms Li Hong Xing**, Principal Lecturer in Law, BPP University and Executive President, UK Sichuan Business Association with a vote of thanks proposed by **Mr Graham Ritchie**, Judge of the First Tier Tribunal, Solicitor, Director, UK Sichuan Business Association, former Consultant to the Commonwealth Secretariat and Head of IPTU, Institute of Advanced Legal Studies, University of London, UK

19:00

Cocktails in the Marquee

Dinner in Hall and Upper Hall, Jesus College

After-dinner Addresses by **Sir Paul Jenkins QC**, Matrix Chambers, Deputy Treasurer and Master of the Bench, Middle Temple and former Her Majesty's Treasury Solicitor, Head of the Government Legal Department and Permanent Secretary to the Attorney General, UK and **Dr John Freeman**, Her Majesty's Governor, Turks and Caicos, formerly UK Ambassador to Argentina, Permanent Representative to the UN (Vienna) and Deputy Director General, Organisation for the Prohibition of Chemical Weapons, UK introduced by **Mr Clark Abrams**, Assistant District Attorney and Chief, Money Laundering and Financial Investigations Unit, Office of the Special Narcotics Prosecutor, City of New York, USA with a vote of thanks proposed by **Councillor Christopher Hayward**, Member, Common Council of the City of London and Chairman of the Planning and Transportation Committee and Member of the Police Committee of the City of London, UK

Thursday, 7th September 2017

08:00

Session VIII: Financial intelligence and disruption as a strategy - the unresolved issues

Chair: **Dr Frank Madsen**, Affiliated University Lecturer, Centre of Development Studies, University of Cambridge, Adjunct Professor at Henley-Putnam University at Santa Clara, California, USA and former Head of Intelligence, General Secretariat, ICPO-Interpol

- **Dr Shima Keene**, Director, Conflict Studies Research Centre, Oxford, Director of the Securities Economics Programme, Institute of Statecraft, expert Civilian Stabilization Group, Foreign and Commonwealth Office and former Special Advisor (Security and Intelligence) Ministry of Defence and UK Defence Academy and Consultant, Thames Valley Police, UK
- **Mr Garrett Lynch**, Deputy Chief, Major Crime Bureau, New York County District Attorney's Office, USA
- **Dr Patrick Hardouin**, Consultant and former Deputy Assistant Secretary General, NATO, France
- **Mr David Fitzpatrick**, Barrister, England and Wales and Hong Kong and former Senior Crown Counsel, Hong Kong SAR, People's Republic of China
- **Ms Katherine Haun**, Assistant US Attorney, Northern District of California, USA
- **Mr Matthew Russell**, Partner, PwC, London, UK
- **Colonel Claudio Petrozziello**, Guardia di Finanza and Customs, Tax, Excise, Anti Money Laundering and Counter Terrorism Financing Affairs Attaché, Embassy of Italy, London, UK
- **Mr David Gray**, Special Counsel, Proceeds of Crime Litigation, Australian Federal Police, Australia
- **Dr Michael Meissner**, Chief Compliance Officer, Landeskreditbank Baden-Wuerttemberg (L-Bank), Germany
- **Ms Samantha Chiu**, Senior Public Prosecutor, Prosecutions Division, Department of Justice, Hong Kong SAR, People's Republic of China
- **Mr Warren Ti**, Director, International Operations, Investigation Bureau, Ministry of Justice, Taiwan
- **Mr David Artingstall**, Consultant and Associate Fellow, Centre for Financial Crime and Security Studies, RUSI, UK
- **Mr Dave Porter**, Associate Partner, Cognitive Solutions, IBM, UK
- **Dr Nick Ridley**, Senior Lecturer, John Grieve Centre, London Metropolitan University and former Senior Analyst, Europol and the Metropolitan Police, UK

PARALLEL PLENARY WORKSHOP XXIII – 08:00 - 10:45

Fighting slavery in the modern world

Chair: **Mr David Bacon**, Editor, Business Crime and Investigations, Thomson Reuters Practical Law and formerly of the Serious Fraud Office for England, Wales and Northern Ireland and the Solicitors Regulatory Authority, UK

Professor Johan Henning, Senior Professor of Mercantile Law, Distinguished Emeritus Professor and former Dean of Law, University of the Free State, South Africa

Mr Paul Brooks, Senior Editor, Thomson Reuters Practical Law and former Barrister, Doughty Street Chambers, UK

Mr Graham Ritchie, Judge of the First Tier Tribunal, Solicitor, former Consultant to the Commonwealth Secretariat and Head of IPTU, Institute of Advanced Legal Studies, University of London, UK

Dr Nancy Baldwin, Attorney at Law, Florida, USA

Mr Dominic Thomas-James, Barrister and Researcher, Centre of Development Studies, University of Cambridge, UK

Dr Ingrida Keruokaite, International Development Assistance Services, KPMG LLP, London, UK

PARALLEL PLENARY WORKSHOP XXIV – 08:00 – 10:45

Threat assessment: virtual currencies!

Chair: **Ms Carol van Cleef**, Partner, Baker & Hostetler, USA
Ms Ruth Wandhofer, Global Head of Regulatory and Market Strategy, Citi Treasury and Trade Solutions, Citigroup, London, UK
Dr Michael Gronager, CEO and Co-founder Chainalysis Inc, USA

10:45 Coffee

11:00 Session VIX: Financial intelligence units and asset recovery

Chair: **Dr Shima Keene**, Director, Conflict Studies Research Centre, Oxford, Director of the Securities Economics Programme, Institute of Statecraft, expert Civilian Stabilization Group, Foreign and Commonwealth Office and former Special Advisor (Security and Intelligence) Ministry of Defence and UK Defence Academy Consultant, Thames Valley Police, UK

- **Mr Kenneth Murray**, Head of Forensic Accountancy, Police Scotland, Scotland, UK
- **Dr Ana Rita Duarte Campos**, Lawyer, Morais Leitão, Galvão Teles, Soares Da Silva, Soc. Advogados R.L, Portugal
- **Mr Jeff Simser**, Legal Director-Agencies, LHIN Legal Services Branch, Local Health Integration Network and former Legal Director, Ministry of the Attorney General, Canada
- **Dr Peter German**, Consultant and former Deputy Commissioner and Director General of the Financial Crime Division, Royal Canadian Mounted Police and Regional Deputy Commissioner, Correctional Service, Government of Canada
- **Mr Stefan Cassella**, Asset Forfeiture Law LLC and former Chief, Asset Forfeiture and Money Laundering Section, Office of the US Attorney, Baltimore, USA
- **Mr Jeffrey Bryant**, Crown Advocate, Proceeds of Crime Unit, Crown Prosecution Service for England and Wales, UK
- **Mr Gavin Coles**, Global Head of AML, Citi Private Bank, USA
- **Mr James Springer**, AML/CTF Advisor, JPS Consulting, former Resident Financial Enforcement Advisor, Morocco, Namibia and Bulgaria, Office of Technical Assistance, US Department of Treasury, USA
- **Mr Philippe de Koster**, Deputy Director, Belgium Financial Intelligence Processing Unit CTIF-CFI, Belgium
- **Ms Claire Drury-Axford**, Legal Counsel, Senior Vice President - Litigation, Investigations and Enforcement, Barclays Bank PLC, London and former Financial Conduct Authority, UK
- **Professor He Jiahong**, Director of the Centre for Common Law and Director of the Institute of Evidence, The Law School, Renmin University of China, People's Republic of China

PARALLEL PLENARY WORKSHOP XXV – 11:00 to 13:00

The illegal wildlife trade and the role of a finance-based response

Mr Nicholas Bruschi, Intelligence Manager, World Animal Protection, UK
Mr Tom Keatinge, Director, Centre for Financial Crime and Security Studies, Royal United Services Institute (RUSI), UK and formerly Managing Director, Financial Institutions Group and Head of European Public Sector, J.P. Morgan, UK
Dr Tanya Wyatt, Senior Lecturer in Criminology, Northumbria University, UK

PARALLEL PLENARY WORKSHOP XXVI – 11:00 to 13:00

Winning for the whistleblower (Pt 1)

Under the auspices of **WhistleblowersUK** and **Warwick Business School, University of Warwick**

Ms Michelle Thomson MP, Member of Parliament, Shadow Scottish National Party (SNP) Westminster Group Leader (Business, Innovation and Skills), UK

Ms Georgina Halford-Hall, CEO, Whistle-blowers UK

Dr Wim Vandekerchove, Principal Lecturer, Department of Human Resources and Organisational Behaviour, University of Greenwich, UK

Dr Kate Kenny, Reader, Queen's Management School, Queen's University, Belfast, Northern Ireland, UK

Dr Marianna Fotaki, Professor of Business Ethics, Business School, Warwick University and Visiting Fellow, University of Manchester, UK

Mr Howard Brown, US Attorney and UK Solicitor Advocate, Chairman, H.S Brown Ltd, London, UK

13:00 Lunch in Hall and Upper Hall

Session X: Empowering the victims of fraud and abuse

Chair: **Alderman Mr Matthew Richardson**, Aldermen of the City of London, Barrister, Henderson Chambers, London and Eastern Caribbean Supreme Court, British Virgin Islands

- **Mr Roger Mullin MP**, Member of Parliament, Honorary Professor, University of Sterling, Scotland
- **The Hon Mr John Jeremie SC**, Deputy Dean, Faculty of Law, University of the West Indies St Augustine, Trinidad and Tobago and former Attorney General and High Commissioner for Trinidad and Tobago to the United Kingdom, Jamaica
- **Professor Maria Angeles Perez Cebadera**, Professor of Law, Jaume University, Spain
- **Mr Rune Grundekjon**, Special Advisor and Controller, Finanstilsynet, (Financial Services Authority), Norway
- **Ms Susan Galli**, Consultant and former Managing Director, Advisory Services Risk and Regulatory, PwC, USA
- **Mr Serhan Göktürk**, Advisor to the Chief Risk Officer, Saudi Stock Exchange (Tadawul), Kingdom of Saudi Arabia and former Chief Auditor, Borsa Istanbul, Turkey
- **Mr Muralidharan Pillai MP**, Head, Commercial Litigation, Rajah & Tann Singapore LLP and Member of Parliament, Singapore
- **Mr Gavin Coles**, Global Head of AML, Citi Private Bank, USA
- **Avv Fabio Cagonla**, Partner, Cagnola & Associati Studio Legale, Italy
- **Mr Adam Kaufmann**, Partner, Lewis, Baach, Kaufmann and Middlemiss, and former Executive Assistant District Attorney and Chief of the Investigation Divisions, New York County District Attorney's Office, USA
- **Dr Maryam Alsuwaidi**, Deputy CEO for Licensing Supervision and Enforcement, UAE Securities and Commodities Authority, United Arab Emirates
- **Mr Istvan Lengyel**, Secretary-General, Banking Association for Central and Eastern Europe, Hungary
- **Hon Justice Dr Ngozika Okaisabor**, President, Customary Court of Appeal, Nigeria
- **Mr Nicholas Bruschi**, Intelligence Manager, World Animal Protection, UK
- **Ms Wendy Tien**, Deputy Assistant Director, Office of Review and Oversight, former Trial Attorney, Civil Division, US Department of Justice, Executive Office for the United States Trustees, USA
- **Ms Stela Buiuc**, Deputy Director, Centre for Legal Approximation, Ministry of Justice, Moldova
- **Mr Zheng Yu**, Partner, Jun He, Beijing, People's Republic of China
- **Mr Matt Getz**, Partner, Boies, Schiller, Flexner, London., UK
- **Mr Jason Woodland**, Special Counsel, Commercial Litigation, Civil Fraud and Asset recovery, Peters and Peters, London, UK

PARALLEL PLENARY WORKSHOP XXVII - 14:00 to 16:00

Art forgery and fraud

Chair: **Mr Charles Hill**, Artrisk UK and formerly of the Arts and Antiques Squad, Metropolitan Police, UK
Mr Tom Gyorffy QC, Owen Dixon Chambers, East Melbourne, Australia
Ms Susan Borg, Crown Prosecutor, Office of Public Prosecutions, Victoria, Australia
Mr William Veres, Antiquarian, London, UK
Dr Saskia Hufnagel, Lecturer in Criminal Law, School of Law, Queen Mary University of London, UK
Ms Gabriella Coslovich, Journalist and author specialising in art, Melbourne, Australia

PARALLEL PLENARY WORKSHOP XXVIII - 14:00 to 16:00

The role of the investigator and prosecutor

Chair: **Ms Clare Whitaker**, Head of Fraud Division C, Serious Fraud Office of England, Wales and Northern Ireland, UK
Mr Simon Daniel, Case Controller, Serious Fraud Office of England, Wales and Northern Ireland, UK
Mr Matthew Ball, Principal Divisional Investigator, Serious Fraud Office of England, Wales and Northern Ireland, UK

PARALLEL PLENARY WORKSHOP XXIX - 14:00 to 16:00

Winning for the Whistleblower (Pt 2)

Under the auspices of **WhistleblowersUK** and **Warwick Business School, University of Warwick**

Chair: **Ms Georgina Halford-Hall**, CEO, Whistle-blowers UK
Mr John Banerjee, FX trader and whistleblower, UK
Dr Marianne Fotaki, Professor of Business Ethics, Warwick Business School, University of Warwick, UK
Ms Kate Kenny, Reader, Queens Management School, Queens University, Belfast, Northern Ireland, UK
Mr Andrew Bousfield, Barrister, 3PC and Journalist, Private Eye, UK
Mr Michael Turner QC, Garden Court Chambers, London and former Chairman of the Criminal Bar Association, UK

PLENARY WORKSHOP XXX -16:15 to 17:15**Trade-based money Laundering**

Chair: **Mr Clark Abrams**, Assistant District Attorney and Chief, Money Laundering and Financial Investigations Unit, Office of the Special Narcotics Prosecutor, City of New York, USA
Mr Daniel Gutierrez, Vice President, Regulatory Risk Manager, Ocean Bank, Miami, Florida, USA
Mr Robert Targ, Partner, Diaz Reus & Targ LLP, Miami, USA

PLENARY WORKSHOP XXXI - 16:15 to 17:30**The illicit trade in stolen and looted works of art**

Chair: **Mr Charles Hill**, Artrisk UK and formerly of the Arts and Antiques Squad, Metropolitan Police, UK
Professor Janet Ulph, Professor of Law, University of Leicester, UK
Dr Sophie Vigeron, Lecturer in Law, Kent Law School, University of Kent, UK
Dr Neil Brodie, Senior Research Fellow, Endangered Archaeology in the Middle East and North Africa School of Archaeology, University of Oxford, UK
Mr Dick Ellis, formerly of the Art and Antiquities Squad, The Metropolitan Police, London, UK

PLENARY WORKSHOP XXXII – 16:15 to 17:30**How do regulators protect their independence and integrity?**

Chair: **Dr. Dayanath Jayasuriya**, President's Counsel and former Chairman, Securities and Exchange Commission and Insurance Board of Sri Lanka
Mr Philip Rutledge, Partner, Bybel and Rutledge LLP and former Chief Counsel, Pennsylvania Securities Commission, USA
Mr Romesh Bhattacharji, former Chief Commissioner of Customs and Commissioner of Narcotics, India
Mr U P Alawattage, Director, Department of Supervision of Non Bank Financial Institutions, Central Bank of Sri Lanka
Dr Maryam Alsuwaidi, Deputy CEO for Licensing Supervision and Enforcement, UAE Securities and Commodities Authority, United Arab Emirates
Ms Pavithri Vithanage, Senior Assistant Director, Legal and Compliance Department, Central Bank of Sri Lanka, Sri Lanka
Professor Hans Tjio, Professor of Law, National University of Singapore

PLENARY WORKSHOP XXXIII – 16:15 to 17:15**Learning the cost of compliance: from data to dialogue**

Under the auspices of the **CCP Research Foundation C.I.C., UK**

Professor Roger McCormick, Managing Director, CCP Research Foundation CIC, Visiting Professor, London School of Economics and formerly Partner at Freshfield Bruckhaus Deringer, UK
Mr Chris Stears, Solicitor, Research Director, CCP Research Foundation CIC, Lecturer in Financial Law and Compliance, BPP University and researcher, Institute of Advanced Legal Studies, University of London, UK
Ms Jane Walshe, Barrister and CEO, Enforced and Senior Regulatory Intelligence Expert, Thompson Reuters, UK

WORKSHOP 19 – 17:30-18:30**International financial crime and practical governance issues**

Mr Clark Abrams, Assistant District Attorney and Chief, Money Laundering and Financial Investigations Unit, Office of the Special Narcotics Prosecutor, City of New York, USA
Mr Robert Targ, Partner, Diaz Reus & Targ LLP, Miami, USA

WORKSHOP 20 – 17:30-18:30**Cybercrime**

Dr Alice Hutchings, Senior Research Associate, Cambridge Cybercrime Centre, Computer Laboratory, University of Cambridge, UK
Dr Rutger Leukfeldt, Researcher, Netherlands Institute for the Study of Crime and Law Enforcement, The Netherlands
Dr Daniel Thomas, Lecturer, Cambridge Cybercrime Centre, Computer Laboratory, University of Cambridge, UK
Dr Sergio Pastrana, Research Associate, Cambridge Cybercrime Centre, Computer Laboratory, University of Cambridge, UK
Mr Sven Übelacker, Researcher, Cambridge Cybercrime Centre, Computer Laboratory, University of Cambridge, UK

<u>WORKSHOP 21 – 17:30-18:30</u> Mutual legal assistance and the common reporting standards: hiccups and hurdles Mr Kenneth Murray, Head of Forensic Accountancy, Police Scotland, UK Ms Tessa Lorimer, Special Counsel, Withers LLP and formerly of the Crown Prosecution Service for England and Wales and the HM Revenue and Customs Prosecution Office, UK	<u>WORKSHOP 22 – 17:30-18:30</u> Risks and trends of the global economic crime Professor Konrad Raczowski, Director of the Institute of Economics, University of Social Sciences, Poland Professor Bogdan Mróz, Warsaw School of Economics, Poland
<u>WORKSHOP 23 – 17:30-18:30</u> Through the Looking Glass: getting the most out of an offshore jurisdiction Mr Simon Dickson, Partner, Mourant Ozannes, Cayman Islands Mr James Austin Smith, Campbells Legal, Cayman Islands	<u>WORKSHOP 24 – 17:30-18:30</u> Crimes of the Sea: Contemporary Asia in Global Perspective Dr Kun-Chin Lin, Director of Centre for Rising Powers, University Lecturer, POLIS, Fellow, Gonville and Caius College, University of Cambridge, UK Dr Charles I-Hsin Chen, Visiting Fellow, POLIS, Centre for Rising Powers (CRP), University of Cambridge, UK
<u>WORKSHOP 25 – 17:30-18:30</u> Negotiated Justice: responding to corporate offending in Brazil Ms Laura Fritsch, Researcher, Centre for Criminology, University of Oxford, UK	<u>WORKSHOP 26 – 17:30-18:30</u> Policing economic crime in Australia is it efficient and effective? Mr Mathew Leighton-Daly, Course Co-ordinator, Australian Graduate School of Policing and Security, Charles Sturt University, Australia
<u>WORKSHOP 27 – 17:30-18:30</u> Does better governance facilitate effective regulation? Dr Dayanath Jayasuriya, President's Counsel and former Chairman, Securities and Exchange Commission and Insurance Board of Sri Lanka Professor Paul Latimer, Associate Professor of Law and former Head, Department of Business Law and Taxation, Monash University, Australia Professor Johan Henning, Senior Professor of Mercantile Law, Distinguished Emeritus Professor and former Dean of Law, University of the Free State, South Africa Mr Richard Parlour, Chairman of the Federation of Small Businesses Home Affairs Committee and Managing Director of Financial Markets Law International, UK Mrs Shanti Jayasuriya, Attorney-at-Law, Managing Partner, Asian Pathfinder Legal Services, Sri Lanka	<u>WORKSHOP 28 – 17:30-18:30</u> Attribution of knowledge – a two edged sword Mr Michael Ashe QC SC, 9 Stone Buildings, London, Co-Chairman of the Symposium, Recorder of the Crown Court, England and Wales and Master of the Bench of the Middle Temple, UK Dr Richard Alexander, Lecturer in Financial Law, School of Oriental and African Studies, University of London and former consultant to the Inter-Governmental Action Group against Money Laundering and Terrorism Financing in West Africa (GIABA) Mr Chris Stears, Solicitor, Research Director, CCP Research Foundation CIC, Lecturer in Financial Law and Compliance, BPP University and researcher, Institute of Advanced Legal Studies, University of London, UK Professor Philip Rutledge, Partner, Bybel and Rutledge LLP, Visiting Professor of Securities Law, BPP University and former Chief Counsel, Pennsylvania Securities Commission, USA Professor Chizu Nakajima, Deputy Director of the Symposium

Alternative Programme – Thursday 7th September 2017

One Belt One Road Initiative – the new Silk Road

The road to sound, stable and honest business

Hosted by **UK Sichuan Business Association**

Sponsored by

Sichuan Provincial Federation of Industry and Commerce, People's Republic of China

Sichuan Provincial Investment Service, People's Republic of China

Sichuan Provincial Department of Commerce, People's Republic of China

Sichuan Provincial Chamber of Commerce, People's Republic of China

09:30

Keynote Address:

- **The Hon Mr Jiang Sunan**, Minister Counsellor, Embassy of China, London, UK
- **Dr Oonagh McDonald**, International Regulatory Consultant, former Member of Parliament and Principal Private Secretary to the Chief Secretary to the Treasury and Opposition Spokesman on Treasury and Economic Affairs, former Director, Financial Services Authority, FSA Ombudsman Scheme and Investors Compensation Scheme and former General Editor of the Journal of Financial Regulation and Compliance, UK
- **Dr Sun Wei**, Member of Advisory Board of UK Sichuan Business Association, Chairman of Cambridge Chinese Community Centre, Vice Chairman of Heilongjiang Association, Vice Chairman of Changchun Overseas Friendship Association, Adjunct Professor of Harbin Institute of Technology
- **Ms Sherry Madera**, Special Adviser for Asia to the Corporation of the City of London and former Minister-Counsellor and Deputy Director General, British Embassy, Beijing and Department for International Trade, UK Government

11:00

Session 4: Risks and Responsibilities along the Silk Road – interfacing the new strategies

Chair: **Mr Dominic Gibbs**, Chief Operating Officer, The Cayzer Trust Company Limited and Researcher, the Institute of Advanced Legal Studies, University of London and Member of the Advisory Council, UK Sichuan Business Association, UK

Leading experts from the People's Republic of China will join the following speakers

- **Mr Stefan Gannon**, Commissioner, Resolution Office, Hong Kong Monetary Authority, Hong Kong SAR, People's Republic of China
- **Mr Dave Porter**, Associate Partner, Cognitive Solutions, IBM, UK
- **Mr Robert Rhodes QC**, Outer Temple Chambers, Recorder of the Crown Court of England and Wales, Arbitrator and Master of the Bench of the Inner Temple, UK
- **Mr P Mukundan**, Director, Commercial Crimes Services, International Chamber of Commerce, UK
- **Professor Johan Henning**, Senior Professor of Mercantile Law, Distinguished Emeritus Professor and sometime Dean of Law, University of the Free State, Republic of South Africa
- **Professor Zhang Qihuai**, Senior Partner, Lanpeng Law Firm, Beijing, Professor of Law at among other universities, the University of South China, Beijing Institute of Technology and China University of Political Science and Law; member of the Executive Committees of the Chinese Society of Criminology and the China Academy of Arbitration Law and former Chief of Staff, China Air Force, People's Republic of China
- **Mr Nicholas Walmsley**, Deputy Director of the Symposium and Director, Compliance, Deutsche Bank AG London, UK

13:00

Lunch

14:00

Session 5: New opportunities but new risks along the road

Chair: **Mr Patrick Maddams**, Sub-Treasurer of the Honourable Society of the Inner Temple, former Managing Director, Royal Academy of Music and Dunlop Textiles Ltd and Member of the Board of the Royal Institute of British Architecture, UK

Leading experts from the People's Republic of China will join the following speakers

- **Ms Susan Galli**, Consultant and former Managing Director, Advisory Services Risk and Regulatory, PwC, USA
- **Professor Konrad Raczkowski**, Director of the Institute of Economics, University of Social Sciences, Poland
- **Ms Julie Zhuge Wilson**, Partner, UHY Hacker Young LLP, UK and China, Fellow of the Institute of Chartered Accountants in England and Wales, UK and People's Republic of China
- **Professor Philip Rutledge**, Partner, Bybel and Rutledge LLP, Visiting Professor of Securities Law, BPP University and former Chief Counsel, Pennsylvania Securities Commission, USA
- **Dr Ingrida Keruaskaite**, International Development Assistance Services, KPMG LLP, London, UK
- **The Hon Justice O Faji**, Judge of the Federal High Court, Nigeria
- **Mr David Cohen**, Senior Partner, Cohen Legal Partners, Israel
- **Ms Carol van Cleef**, Senior Partner, Baker & Hostetler, USA
- **Professor Bogdan Mróz**, Warsaw School of Economics, Poland

16:00

Discussion Session chaired by **Chairman Professor Chen Fang**, President of the Federation of Industry and Commerce (Sichuan), People's Republic of China

17:00

Closing remarks

- **Professor Barry Rider**, Professor of Comparative Law, Renmin University, People's Republic of China, Professorial Fellow, Centre of Development Studies, University of Cambridge and Co-Chairman of the Symposium
- **Chairman Professor Chen Fang**, President of the Federation of Industry and Commerce (Sichuan), People's Republic of China
- **Mrs Wenli Song**, President of UK Sichuan Business Association; Special Representative, Department of Commerce, Provincial Government of Sichuan; Executive Chairman of the Sichuan Wanhui Printing Co. Ltd; Sichuan Wanhui Cultural Investment Co. Ltd; Enhui Holdings (Hong Kong) Limited and Sichuan Bazhong Tanghu Foreign Language School, People's Republic of China

Dinner in Sidney Sussex College, University of Cambridge

After-Dinner Addresses by **The Hon Mr Jiang Sunan**, Minister Counsellor, Embassy of China, London, UK, **Professor Sir Ivan Lawrence QC**, Professor of Law, BPP University and the University of Buckingham former Member of Parliament and Chairman of the Home Affairs Committee, House of Commons, Recorder of the Crown Court and Master of the Bench of the Inner Temple and member of the Advisory Council, UK Sichuan Business Association, UK and **Mr Richard Kottler**, Master of the Worshipful Company of Pattenmakers in the City of London; founder of Tailwind, former Chief Executive of the British Footwear Association; Managing Director, Berkley Search and Selection and H & M Rayne, UK introduced by **Dr Ye Zhen**, Barrister and Jesus College, Cambridge with a vote of thanks proposed by **Ms Qin Li**, Deputy President of the UK Sichuan Business Association and former Director, UKTI and the Department for International Trade, UK Government

19:00

Cocktails in the Marquee and Dinner in Hall and Upper Hall

After-Dinner Addresses by **HE Mr Koji Tsuruoka**, Ambassador Extraordinary and Plenipotentiary of Japan to the Court of St James and **Sheriff and Alderman Peter Estlin**, Sheriff and Alderman of the City of London, Senior Adviser, Barclays plc, non-executive Director, HM Treasury and Middle Warden of the Worshipful Company of International Bankers and former partner in Coopers and Lybrand and CFO of Salmon Brothers (Asia), Citigroup Investment Banking Division (New York and London) and Group Financial Controller, Barclays plc introduced by **Professor Chizu Nakajima**, Co-Chair of the British Japanese Law, Association, Deputy Director of the Symposium, Professor of International and Comparative Law, BPP University, and Affiliated Lecturer University of Cambridge, and Emeritus Professor of Corporate Law and Governance, London Metropolitan University, UK with a vote of thanks proposed by **Professor Peter Crisp**, Barrister (England and Wales), Dean of Law and Chief Executive, BPP University, London, UK

Friday, 8th September 2017

08:00

Session XI: Disclosing wealth

Chair: **Mr Robert Rhodes QC**, Outer Temple Chambers, Recorder of the Crown Court of England and Wales, and Master of the Bench of the Inner Temple, UK

- **Mr Robert Barrington**, Executive Director, Transparency International UK
- **Mr Howard Sharp QC**, former HM Solicitor General of the States of Jersey
- **Mr Jaideep Gupta**, Senior Advocate, India
- **Ms Polly Greenberg**, Managing Director, Financial Crime and Regulatory Consulting, Duff & Phelps, LLC and former Chief, Major Crimes Bureau, New York County District Attorney's Office, USA
- **Professor David Chaikin**, Associate Professor of Law, University of Sydney Business School, Barrister, and formerly of the Australian Federal Attorney-General's Department and the Commonwealth Secretariat
- **Mr Barnaby Pace**, Senior Campaigner, Governments and Corruption, Global Witness, UK
- **Mrs Svetla Konstantinova**, Attorney-at-Law, Sofia, Bulgaria and former Minister Counsellor, Bulgarian Embassy, London
- **Mr Steven Toscher**, Partner, Hochman, Salkin, Rettig, Toscher & Perez, P.C. USA
- **Professor William H. Byrnes**, Professor of Law and Associate Dean (Special Projects), Faculty of Law, Texas A & M University, USA
- **Dr Joachim Kaetzler**, Partner, CMS Hasche Sigle, Germany
- **Mr Andrew Bousfield**, Barrister, 3PC and Journalist, Private Eye, UK
- **Professor Rose-Marie Antoine**, Dean, Faculty of Law, University of the West Indies, St Augustine, Trinidad and Tobago
- **Dr Dmitry Gololobov**, Senior Partner, Gololobov & Co, London, UK
- **Dr Shirley Quo**, Senior Lecturer, Law School, Murdoch University, Australia
- **Datuk Simon Shim**, Senior Partner, M/S Sim Pang & Co, Malaysia
- **Ms Tessa Lorimer**, Special Counsel, Withers LLP and formerly of the Crown Prosecution Service for England and Wales and the HM Revenue and Customs Prosecution Office, UK

10:45

Coffee

Session XII: Making sure Crime does not pay

Chair: **Dame Heather Steel**, Master of the Bench of Gray's Inn, former Judge of the High Court of Justice, Queen's Bench Division and Judge of the Courts of Appeal of Jersey and Guernsey and Prosecuting Counsel to DHSS, UK

- **Mr Christopher Pryde**, Director of Public Prosecutions and former Solicitor General, Fiji
- **Mr Romesh Bhattacharji**, former Chief Commissioner of Customs and Commissioner of Narcotics, Government of India
- **Mr Lampros Tsogkas**, Public Prosecutor, Thessaloniki, Greece
- **Mr Olivier Dorgans**, Counsel, Brown Rudnick LLP, France
- **Professor Rob McCusker**, Senior Vice President (Asia-Pacific), Vigilance Australia and Director of the Transnational Crime Academy, Gulf Vigilance, Abu Dhabi, UAE
- **Mr Barry Faudemer**, Director of Enforcement, Jersey Financial Services Commission, Jersey
- **Mr John Moscow**, Partner, Baker & Hostetler, USA and former Assistant District Attorney, Office of the District Attorney of New York, USA
- **Avv Rosario Di Legami**, Advocate and Judicial Conservator, Studio Legale Di Legami, Italy
- **Professor Janusz Bojarski**, Professor of Criminal Law and Criminal Policy, Nicolas Copernicus University, Poland
- **Ms Tanya Gibbs**, Lecturer, School of Business Administration, Department of Finance, American University of Sharjah, UEA
- **Dr Li Xuebin**, Probation Service, Ministry of Justice, UK, Lecturer in Chinese Criminal Law, BPP University and former Senior Officer, Public Security Bureau, People's Republic of China
- **Professor Louis de Koker**, La Trobe Law School, College of Arts, Social Sciences and Commerce, La Trobe University, USA
- **Mr Daniel Gutierrez**, Vice President, Regulatory Risk Manager, Ocean Bank in Miami, Florida, USA
- **Dr Anastasia Suhartati**, Lecturer, Criminal Law Department, Faculty of Law, University of Surabaya, Indonesia

PARALLEL PLENARY WORKSHOP XXXIV – 11:00 to 12:30

Fighting the Mafia and economic crimes - Palermo style: can we really prevent the risk of Mafia infiltration or rebuild companies tainted by criminal activity?

Chair: **Professor Antonello Miranda**, Professor of Comparative Law and Dean of the School of Social Sciences, University of Palermo, Italy

Professor Costantino Visconti, Professor of Criminal Law, University of Palermo, Italy

The Hon Dr Geri Ferrara, Counsel General of the Procuratore Nazionale Antimafia presso la Direzione Nazionale Antimafia (National Anti-Mafia Commission), Italy

The Hon Dr Fabio Licata, Judge at the Court of Palermo, Special Section for Anti-Mafia Preventive Measures and Professor of Criminal Law, University of Palermo, Italy

Professor Enzo Bivona, Associate Professor of Business Management, Department Political Science and International Studies, University of Palermo, Italy

Professor Salvatore Casabona, Department of Political Sciences and International Studies, University of Palermo, Italy

Professor Laura Santoro Political Science and International Relations, University of Palermo, Italy

Lunch in Hall and Upper Hall

Session XIII: Collateral damage and the threat to 'innocent' third parties

Chair: **Mr Kevin de Haan QC**, Francis Taylor Building and Master of the Bench of the Inner Temple and formerly Recorder of the Crown Court, UK

- **Ms Helen Hatton**, Managing Director, BDO Sator Regulatory Consulting Ltd and former Deputy Director, Jersey Financial Services Commission
- **Mr Roger Best**, Partner, Clifford Chance LLP, London, UK
- **Professor Michelle Gallant**, Professor of Law, Associate Dean and Executive Director, Legal Research Institute, Faculty of Law, University of Manitoba, Canada
- **Ms Laura Atherton**, Assistant General Counsel, GlaxoSmithKline Services Ltd, UK
- **Mr Robert Targ**, Partner, Diaz Reus & Targ LLP, Miami, USA
- **Professor Cindy Schipani**, Professor of Business Law and Chair, Law, History and Communication, Stephen M. Ross School of Business, University of Michigan, USA
- **Mr Stephen Bullock**, Barrister and Solicitor, Brisbane, Australia
- **Professor Fletcher Baldwin**, Chesterfield Smith Professor of Law and Director, Centre for International Financial Crimes Studies, University of Florida, USA
- **Ms Catherine Pedamon**, Senior Lecturer, School of Law, University of Westminster, London, UK, Director of the Franco British Lawyers Association and a member of the Paris and New York Bars
- **Professor Thomas Hurst**, S.T. Dell Professor of Law, University of Florida, Levin College of Law, USA

- **Mr Geoffrey Sant**, Special Counsel, Dorsey & Whitney, Adjunct Professor, Fordham Law School, and Director, Chinese Business Lawyers Association, New York, USA
- **Professor Alastair Hudson**, Head of School and Professor of Equity & Finance Law, University of Strathclyde, Political Advisor to Rebecca Long Bailey MP, Shadow Secretary of State for Business Energy and Industrial Strategy, UK
- **Mr Frans van Proosdij**, Managing Director, Citco Bank Nederland N.V, The Netherlands
- **Mr Chris Stears**, Solicitor, Research Director, CCP Research Foundation CIC, Lecturer in Financial Law and Compliance, BPP University and researcher, Institute of Advanced Legal Studies, University of London, UK

PARALLEL PLENARY WORKSHOP XXXV– 14:00 to 16:00

Much integrity built? Analysing NATO's fight against corruption
Organised by the **Atlantic Council of the United Kingdom**

Convened by **Mr Jack Davies**, Kirkland & Ellis International LLP, London, UK
Mr Andreas Stradis, Senior Research Fellow, Atlantic Council of the United Kingdom

PARALLEL PLENARY WORKSHOP XXXVI – 14:00 to 16:00

The challenges and opportunities of a policing agency conducting proceeds of crime enforcement

Mr David Gray, Special Counsel, Proceeds of Crime Litigation, Australian Federal Police, Australia

Mr Tom Gyorffy QC, Barrister, Victoria Bar Association, Australia

Ms Liz Ruddle, Barrister, Owen Dixon Chambers West, Victoria Bar Association, Australia

Mr Mark Gumbleton, Barrister, William Crockett Chambers, Victoria Bar Association, Australia

Mr John Masters, Barrister, Blackburn Chambers, Australia and former Senior Crown Counsel, Attorney General's Chambers, Cayman Islands

Mr Stewart Diamond, Attorney, Diamond Law Attorneys, Cayman Islands and international offshore specialist

16:00 Tea

PLENARY WORKSHOP XXXVII– 16:15 to 17:15

The anti-corruption campaign in China – the implications

Chair: **Mr Clark Abrams**, Assistant District Attorney and Chief, Money Laundering and Financial Investigations Unit, Office of the Special Narcotics Prosecutor, City of New York, USA

Ms Leanne Zheng, Partner, Jun He Law Offices and former Judge, People's Court, People's Republic of China

Mr Matthew Blower, Partner, Dorsey & Whitney LLP, London, UK

Mr Zheng Yu, Partner, Jun He, Beijing, People's Republic of China

Mr Geoffrey Sant, Special Counsel, Dorsey & Whitney, Adjunct Professor, Fordham Law School, and Director, Chinese Business Lawyers Association, New York, USA

PLENARY WORKSHOP XXXVIII – 16:15 to 18:30

Under the auspices of **Gethnick & Getnick** in collaboration with **WhistleblowersUK**

The Whistleblower Revolution and the 'New Paradigm' of Corporate Fraud Enforcement

The Rt Hon Mr Norman Lamb PC MP, Member of Parliament and Solicitor, former Minister of State for Health and Parliamentary Under-secretary, Department of Business, Innovation and Skills and Parliamentary Private Secretary to the Deputy Prime Minister, UK

Mr Neil Getnick, Managing Partner, Getnick & Getnick, New York, USA

Ms Margaret Finerty, Partner, Getnick & Getnick, New York, USA

Mr Tom Lloyd, Chairman WhistleblowersUK and former chief Constable Cambridgeshire Police, UK

Professor Rose-Marie Antoine, Dean, Faculty of Law, University of the West Indies, St Augustine, Trinidad and Tobago

Mr Stephen Harvey QC, 3 Paper Building, UK

PLENARY WORKSHOP XXXIX– 16:15 to 17:30

Advances in information technology and information access: regulatory challenges and responses

Chair: **Dr Dayanath Jayasuriya**, President's Counsel and former Chairman, Securities and Exchange Commission and Insurance Board of Sri Lanka

Mr Rajiv Rajendra, founder Aktrea, Singapore and India

Dr Venkat Iyer, Senior Lecturer in Law, University of Ulster and Editor, "Roundtable" Commonwealth Secretariat, UK

Professor David Chaikin, Associate Professor of Law, University of Sydney Business School, Barrister, and formerly of the Australian Federal Attorney-General's Department and the Commonwealth Secretariat

PLENARY WORKSHOP XXXL– 16:15 to 17:30

Is tax avoidance more relevant now than tax evasion?

Chair: **Dr Dominic de Cogan**, University Lecturer in Law and Fellow, Christ's College, University of Cambridge, UK

Professor Jason Sharman, Sir Patrick Sheehy Professor of International Relations, King's College, University of Cambridge, UK

Ms Jennie Haslett, Corporate Crime and International Engagement, The Centre for Offshore Evasion Strategy, HM Revenue and Customs, UK

Ms Kim Willey, Researcher, Faculty of Law, University of Cambridge, Barrister, British Columbia, Canada and Bermuda and Senior Counsel, ASW Law Limited, Bermuda

Ms May Hen, Researcher, Department of Sociology, University of Cambridge; chair, Cambridge Tax Discussion Forum and formerly Canada Revenue Agency, Canada

<u>WORKSHOP 29 – 17:30-18:30</u> Tax Evasion : typologies and threats Mr Gavin Coles, Global Head of AML, Citi Private Bank, USA	<u>WORKSHOP 30 – 17:30-18:30</u> Corruption in Policing Mr George Lee, Researcher, Centre for Development Studies, University of Cambridge and former Managing Partner, Oliver Wyman Management Consulting, Senior Vice-President, T-mobile International and Chief Inspector Metropolitan Police, UK
<u>WORKSHOP 31 – 17:30-18:30</u> The efficacy of anti-money laundering and anti-corruption laws in the UK and Europe Dr Lorenzo Pasculli, Senior Lecturer in Law and Founding Director of the Integrity Research Group at Kingston University London, Kingston University, London, UK Dr Anna Bradshaw, of Counsel, Peters and Peters LLP, London, UK Professor Umet Turksen, Professor of Law, Kingston University and executive, Commonwealth Legal Education Association, UK	<u>WORKSHOP 32 – 17:30-18:30</u> Unexplained wealth orders – the new law Dr Richard Alexander, Lecturer in Financial Law, School of Oriental and African Studies, University of London and former consultant to the Inter-Governmental Action Group against Money Laundering and Terrorism Financing in West Africa (GIABA) Mr Dominic Thomas James, Barrister and Researcher, Centre of Development Studies, University of Cambridge, UK
<u>WORKSHOP 33 – 17:30-18:30</u> The role of the academy Dr Dionysios Demetis, Lecturer, Hull University Business School, Centre for Systems Studies, UK Professor Nicholas Ryder, Professor in Financial Crime, University of the West of England, UK Dr Muhammad Al Abdullah, Assistant Professor, University of San Francisco, USA Mrs Noemi Tambe, Researcher, Hull University Business School, UK Professor Stavros Katsios, Vice-Rector of Economic Affairs Ionian University, Corfu, Greece Professor Fletcher Baldwin, Chesterfield Smith Professor of Law and Director, Centre for International Financial Crimes Studies, University of Florida, USA	<u>WORKSHOP 34 – 17:30-18:30</u> Integrity as a commodity in a post- truth world. personal perspective Mr Mike Dixon, Researcher, Department of Criminology, Anglia Ruskin University and former Detective Inspector Metropolitan Police London, UK Professor William Tupman, Professor of Criminal Justice, BPP University and Research Fellow, University of Exeter, UK Mr Michael Ricks, Managing Director, Enquire International Ltd, UK
<u>WORKSHOP 35 – 17:30-18:30</u> Prosecution of transnational embezzlement of government funds, property and records Mr Ondrej Timco, Researcher, School of Slavonic and East European Studies, University College London, UK	<u>WORKSHOP 36 – 17:30-18:30</u> Developments in governance in the financial sector in China Dr Yan Xuming, Researcher, Centre of Development Studies, University of Cambridge, UK

Alternative Programme – Friday 8th September 2017

Financial Markets Programme Under the auspices of the **Chartered Institute for Securities and Investment (CISI)**

Big data, bad data, breaches and bureaucracy

08:00

Opening Addresses

- **Mr George Littlejohn**, Senior Advisor, Chartered Institute for Securities and Investment (CISI), London, UK
- **Mr Nicholas Walmsley**, Deputy Director of the Symposium and Director, Compliance, Deutsche Bank AG London, UK

08:30

What is big data?

Moderator: **Mr Dave Porter**, Associate Partner, Cognitive Solutions, IBM, UK

Big data: internal challenges and opportunities

Moderator: **Mr Brendan Pickering**, Group Head of Financial Crime Technical Strategy, Group Head of Fraud Risk, HSBC, UK

- **Mr JP Rangaswami**, Chief Data Officer, Deutsche Bank AG, UK
- **Ms Elizabeth Shriver**, Technology Portfolio and Behavioural Analytics Executive, Global Financial Crimes Compliance, Bank of America, UK
- **Mr Ian Blair**, Compliance Surveillance, Credit-Suisse, UK

Big data: the external threats

- **Ms Cheri McGuire**, Group Chief Information Security Officer, Standard Chartered, UK
- **Mr Cal Waits**, Director of EMEA Cyber Investigations and eDiscovery, Citi Security and Intelligence Services, UK

The cutting edge: new technologies and solutions – discussion and case studies

Moderator : **Mr Sean Kennedy**, Partner, Digital, Technology and Analytics, Oliver Wyman, UK

14:00

Big data: the legal and regulatory regimes

- **Mr Giles Pratt**, Partner, Freshfields Bruckhaus Deringer, UK

Discussion: The balance: civil liberties and the use of big data in policing economic crime

Chair: **Mr Mario J. DiFiore**, Assistant Dean and Senior Advisor, Gabelli School of Business, Fordham University and Editor, Journal of Financial Compliance, USA

19:00

Cocktails in the Marquee

Dinner in Hall and Upper Hall, Jesus College

After-dinner Addresses by **Mr Mike Roemer**, Global Head of Compliance, Barclays Bank PLC, London, UK and **The Rt Hon Sir John Mummery PC, QC**, former Lord Justice of Appeal, England and Wales, President of the Intelligence Services Tribunal and the Investigating Powers Tribunal and Honorary Fellow of Pembroke College, University of Oxford introduced by **Mr Jason Haines**, HSBC Holdings Plc, Global Financial Crime Compliance, External Relations with a vote of thanks proposed by **Mr Richard Collins**, Director of Compliance, Public Investment Fund, Saudi Arabia

Saturday, 9th September 2017

8:00

Session XIV: To what extent can we expect regulators to protect us – and who should pay when it goes wrong

Chair: **His Honour Judge Michael Hopmeier**, Circuit Judge, Kingston upon Thames Crown Court, Visiting Professor at City University, London and the University of the West Indies (Jamaica) and Master of the Bench of the Middle Temple, UK

- **Mr John Moscow**, Partner, Baker & Hostetler, USA and former Assistant District Attorney, Office of the District Attorney of New York, USA
- **Mr Khawar Qureshi QC**, Serle Court Chambers and McNair Chambers, Qatar, Deputy Judge of the High Court of England and Wales; Chairman of CityUK Legal Services and the Dispute Resolution Group and former Treasury Counsel and Recorder, UK
- **Professor Mads Andenas**, Professor of Law, University of Oslo, Norway
- **Professor Michelle Gallant**, Professor of Law, Associate Dean and Executive Director, Legal Research Institute, Faculty of Law, University of Manitoba, Canada

- **Professor Dora Neo**, Associate Professor of Law and Director, Centre for Banking and Financial Law, National University of Singapore
- **Mr Wilmer Parker III**, Partner, Maloy Jenkins Parker, USA and formerly of the US Department of Justice, USA
- **Professor Dayanath Jayasuriya**, President's Counsel and former Chairman, Securities and Exchange Commission of Sri Lanka and Consultant to the International Compliance Association
- **Professor Chizu Nakajima**, Deputy Director of the Symposium, Professor of Comparative Law, BPP University and Affiliated Lecturer, University of Cambridge and Emeritus Professor of Corporate Law and Governance, London Metropolitan University, UK
- **Professor Andrew Haynes**, Professor of Law, University of Wolverhampton, UK
- **Mr Stephen Harvey QC**, 3 Paper Building, UK
- **Professor Terry Dworkin**, Jack R. Wentworth Professor, Business Law, Kelly School of Business, Dean, Office for Women's Affairs, Indiana University, USA
- **Mr Goetz Fischer**, Partner, Financial Services, Regulatory, KPMG, Germany
- **Mr Michael Ashe QC SC**, 9 Stone Buildings, London, Co-Chairman of the Symposium, Recorder of the Crown Court, England and Wales and Master of the Bench of the Middle Temple, UK
- **Ms Li Hong Xing**, Principal Lecturer in Law, BPP University, UK

PARALLEL PLENARY WORKSHOP XLI to 08:00 - 10:45

Responsible offshore financial centres: The Cayman Islands experience

Ms May Hen, Researcher, Jesus College and Department of Sociology, University of Cambridge; chair, Cambridge Tax Discussion Forum and formerly Canada Revenue Agency, Canada

Mr Duncan Nicol, Director, Department for International Tax Cooperation, Cayman Islands Government, Cayman Islands

Professor Jason Sharman, Sir Patrick Sheehy Professor of International Relations, Department of Politics and International Studies, University of Cambridge, UK

10:45 Coffee

11:00 Session XV: Better protecting ourselves!

Chair: **Ms Mei Sim Lai**, Founder and Principal, LaiPeters and Co, London, H.M Deputy Lieutenant of Great London, former Member, Senior Salaries Review Board, UK, Government Public Works Loan Commission, Member of the Financial Services Tribunal and President of the City Livery Club, UK

- **Professor Ross Anderson**, Professor of Security Engineering, University of Cambridge, UK
- **Mr Stephen Ball**, Partner, KPMG LLP and former General Counsel, Kroll, UK
- **Mr Guan Siew Teo**, Director, Financial and Technology Crime Division, Attorney General's Chambers, Singapore
- **Mr Richard Collins**, Director of Compliance, Public Investment Fund, Saudi Arabia
- **Professor Dalvinder Singh**, Professor of Banking Law, School of Law, Warwick University, UK
- **Professor Kiymet Tunca Caliyurt**, Faculty of Business Administration and Economics, Department of Accounting and Finance and Manager of Social Graduate School, Trakya University, Turkey
- **Dr Dionysios Demetis**, Lecturer Management Systems, Business School, University of Hull, UK
- **Mr Joseph Smith**, Director, EME Head of Financial Crime Legal at Barclays Bank PLC, London, UK
- **Professor Andreas Kapardis**, Chairman and Professor of Criminology, Law Department, University of Cyprus
- **Professor Maria Krambia-Kapardis**, Associate Professor of Accounting, Cyprus University of Technology
- **Dr Alessandro Napolitano**, Legal Director, SACE S.P.A, Italy
- **Mr Kenichi Kinukawa**, Partner, TMI Associates, Solicitor (England & Wales), Attorney-at-law (Japan) and former Public Prosecutor of Japan and First Secretary (Legal), Embassy of Japan, UK
- **Professor Paul Latimer**, Associate Professor of Law and former Head, Department of Business Law and Taxation, Monash University, Australia
- **Mr Richard Parlour**, Principal, Financial Markets Law International, UK
- **Mr Larry Boyce**, Senior Vice President, SB Regulatory Consulting Inc, Canada
- **Dr Iljana Duderstadt**, Legal Counsel, Wieland Capital, Munich, Germany
- **Mr Trevor Bedeman**, Partner, London Risk, UK
- **Mr Dave Porter**, Associate Partner, Cognitive Solutions, IBM, UK
- **Mr Nick Andrews**, Managing Director Mpac Group, London, UK

PARALLEL PLENARY WORKSHOP XLII– 11:00 to 13:00

Lapses and failures by the regulator: are legal remedies and sanctions adequate

- Chair: Dr Dayanath Jayasuriya**, President's Counsel and former Chairman, Securities and Exchange Commission and Insurance Board of Sri Lanka
- Mr Philip Rutledge**, Partner, Bybel and Rutledge LLP, Professor of Securities Law, BPP University and former Chief Counsel, Pennsylvania Securities Commission, USA
- Mr Romesh Bhattacharji**, former Chief Commissioner of Customs and Commissioner of Narcotics, Government of India
- Professor Yarik Kryvoi**, Senior Research Fellow in International Economic Law, British Institute of International and Corporate Law and Professor of Law, University of West London, UK
- Ms Inoka Wickremasinghe**, Senior Legal Officer, Central Bank of Sri Lanka
- Dr Asanga Gunawansa**, Lead Counsel, Colombo Law Alliance, Sri Lanka and Visiting Professor, University of Moratuwa, Sri Lanka
- Professor Johan Henning**, Senior Professor of Mercantile Law, Distinguished Emeritus Professor and sometime Dean of Law, University of the Free State and former State Prosecutor, Republic of South Africa and Visiting Professor in Corporate Law, BPP University, UK
- Ms Helen Hatton**, Managing Director, BDO Sator Regulatory Consulting Ltd and former Deputy Director, Jersey Financial Services Commission
- Professor Chizu Nakajima**, Co-Chair of the British Japanese Law Association, Deputy Director of the Symposium, Professor of International and Comparative Law, BPP University, and Affiliated Lecturer, University of Cambridge, and Emeritus Professor of Corporate Law and Governance, London Metropolitan University, UK

PARALLEL PLENARY WORKSHOP XLIII– 11:00 to 13:00

Draining the Swamp: analysing populist concepts and policies on corruption

Organised by the **Atlantic Council of the United Kingdom**

Convened by

- Mr Jack Davies**, Kirkland & Ellis International LLP, UK
- Mr Dominic Thomas James**, Barrister and Researcher, Centre of Development Studies, University of Cambridge, UK

13:00 Lunch in Hall and Upper Hall

14:00 **Session XVI: Looking in the crystal ball – protecting our values in the future**

Chair: **Mr Nicholas Walmsley**, Deputy Director of the Symposium and Director, Compliance, Deutsche Bank AG London, UK

- **Professor Paul Heywood**, Sir Francis Hill Professor of European Politics, School of Politics and International Relations, University of Nottingham, UK
- **Dr Frank Madsen**, Affiliated University Lecturer, Centre of Development Studies, University of Cambridge, Von Hugel Institute, St. Edmund's College, Cambridge, Adjunct Professor at Henley-Putnam University at Santa Clara, California, USA and former Head of Intelligence, General Secretariat, ICPO-Interpol
- **Professor George Millard**, Partner, Performance Global Risk Management and Security Consultants, Professor, Sao Paulo Police Academy, President, International Police Association (Brazil) and former Chief of Police and Director of Security, Sao Paulo, Brazil
- **Professor Dan Magnusson**, Professor of Law and Society, Jönköping International Business School, Sweden and former Deputy Director of the Swedish Economic Crime Bureau, Sweden
- **Professor Yarik Kryvoi**, Senior Research Fellow in International Economic Law, British Institute of International and Corporate Law and Professor of Law, University of West London, UK
- **Dr Rita Cheung**, Barrister and Consultant, MCA Lai and Co, Hong Kong SAR, People's Republic of China
- **Dr Wang We Lin**, Senior Lecturer, Westminster Business School, University of Westminster and Director, UK Sichuan Business Association, UK
- **Mr James Mews**, Counsel, Pinel Advocates and former Director, Finance Industry Development, Chief Minister's Department, States of Jersey
- **Mr Jeff Simser**, Legal Director-Agencies, LHIN Legal Services, Local Health Integration Network and former Legal Director, Ministry of the Attorney General Canada
- **Dr Alexander Schuchter**, Lecturer in Criminology, University of St Gallen, Switzerland
- **Mr Pierre Antheaume**, Compliance Officer, European Investment Bank, Luxembourg
- **Ms Hema Lehocky**, Head of Special Investigations, Telia Company, Sweden
- **Professor Paul Larsson**, Professor and former Head, Police University College, Oslo, Norway
- **Dr Massimo Nardo**, Former Senior Manager, National Financial Intelligence Unit, Banca d'Italia, Italy and E.B.D, Ethos & Dialogos, Italy
- **Mr Richard Tauwhare**, Senior Director, Dechert LLP, London, UK

Closing Addresses

Chair: **Mr Saul M Froomkin QC**, Chairman of the Symposium, Director and Head of Litigation, BeesMont Law Limited and former Attorney General of Bermuda

- **The Rt Hon Mr Andrew Mitchell PC MP**, Member of Parliament and Senior Research Associate, Jesus College, Cambridge and former Secretary of State for International Development; Chief Whip; member of the National Security Council; Shadow Minister for Economic Affairs, Policing and Shadow Secretary of State for International Development, UK
- **Mr Stephen O'Bryan QC**, Commissioner, Independent Broad-based Anti-Corruption Commission, Victoria, Australia
- **Professor Jason Sharman**, Sir Patrick Sheehy Professor of International Relations, Department of Politics and International Studies, University of Cambridge, UK
- **The Hon John Maher III**, Member and Speaker *pro tempore*, House of Representatives, Commonwealth of Pennsylvania and Vice Chairman, Centric Bank, USA
- **Professor Mads Andenas**, Professor of Law, University of Oslo, Norway; Visiting Research Fellow, Institute of European and Comparative Law, University of Oxford and former Visiting Fellow of All Souls' College, Oxford and Director of the British Institute of International and Comparative Law; Director of the Centre for Corporate Law, Institute of Advanced Legal Studies, University of London; United Nations Human Rights Mandate Holder and Chair-Rapporteur of the UN Working Group on Arbitrary Detention and Master of the Bench of the Inner Temple UK
- **Professor Andrew Morriss**, Dean and Anthony G. Buzbee Endowed Dean's Chair, Texas A&M School of Law, USA
- **Dr Chung-yi Wang**, Representative, Taipei Economic and Cultural Office, Czech Republic and former Director-General, Investigation Bureau, Ministry of Justice, Taiwan
- **Mr Michael Tuckett**, Deputy Superintendent and Director - Bank Secrecy Act / Anti-Money Laundering Compliance, New York State Department of Financial Services

Closing Remarks

- **Professor Barry A.K Rider**, Founder and Executive Director and Co-Chairman of the Symposium, and Professorial Fellow, Centre of Development Studies, University of Cambridge, UK
- **Mr Saul M Froomkin QC**, Chairman of the Symposium, Director and Head of Litigation, BeesMont Law Limited and former Attorney General of Bermuda

Alternative Programme – (Saturday 9th September)

WHISTLE-BLOWING

An alternative programme under the auspices of **Whistle-blowers UK**

08:00 Keynotes

- **Lord Phillips of Sudbury**, Solicitor and former Chancellor of the University of Essex, UK

08:30 Session I: The Whistleblower as the metaphorical speed camera!

Chair: **Mr Neil Getnick**, Managing Partner, Getnick & Getnick, New York, USA

- **Dr Marianne Fotaki**, Professor of Business Ethics, Warwick Business School, University of Warwick, UK
- **Professor Rose-Marie Antoine**, Dean, Faculty of Law, University of the West Indies, St Augustine, Trinidad and Tobago
- **Mr Simon Natas**, Partner ITN Solicitors and leader of the Joint Enterprise Appeal, UK
- **Mr Michael Hall**, WhistleblowersUK, retired compliance officer, DG Bank, Deutsch, Gennossenschaftshank, AG, UK
- **Ms Maggie Siviter**, Director Safer Now Ltd and Independent Social Worker, UK
- **Ms Carolyn D'Souza**, Barrister, Head of the King's Bench Walk Employment and Discrimination Team, UK

10:45 Coffee

11:00 Session 2: How effective are we protecting whistleblowers and how can we improve the system

Chair: **The Rt Hon Baroness Susan Kramer PC**, Shadow Chancellor for the Liberal Democrats, former Member of Parliament and Minister of State, Department of Transport, UK

- **Ms Margaret Finerty**, Partner, Getnick & Getnick, New York, USA
- **Ms Helen Clifford**, Senior Partner MW Solicitors, UK
- **Ms Kate Kenny**, Reader, Queens Management School, Queens University, Belfast, UK
- **Ms Heather Bucannon**, Director of Strategy, APPG Banking, UK
- **Mr John Banergee**, FX trader and whistleblower, UK

- **Mr Kevin Ferguson**, UNITE Branch Officer, NHS Lothian, Scotland, UK

13:00 **Lunch** in Hall and Upper Hall

14:00 **Session 3: What is the attitude of law enforcement to whistleblowers and how does this impact on effective investigations?**

Chair: **Mr Tom Lloyd**, Chairman, Whistle-blowers, UK and former Chief Constable of Cambridgeshire Constabulary, UK

- **Mr Michael Turner QC**, former chairman of the UK Criminal Bar Association, UK
- **Mr Clark Abrams**, Assistant District Attorney and Chief, Money Laundering and Financial Investigations Unit, Office of the Special Narcotics Prosecutor, City of New York, USA
- **Mr Andrew Bousfield** Barrister, Journalist Private Eye, UK
- **The Rev Michael Croft**, Director, Innovation People Ltd, Fellow of the Chartered Institute of Personal Development and Fellow of the Centre for Welfare Reform, UK
- **Ms Diana Stroh**, formally Suffolk Constabulary, UK
- **Ms Georgina Halford-Hall** CEO WhistleblowersUK

16:15 **Session 4: Whistleblowers and the Regulators**

Chair: **Ms Georgina Halford-Hall** CEO WhistleblowersUK

- **Mr Mark Jones**, Partner, Head of Employment Law, MW Solicitor, UK
- **Mr Neil Getnick**, Managing Partner, Getnick & Getnick, New York, USA
- **Mr Paul Carlier**, former Director of FX Trading, Lloyds Banking Group, UK
- **Ms Camilla Turner**, Education Editor, The Telegraph, UK
- **Mr Stephen Harvey QC**, 3 Paper Building, UK

17:45 **Closing Address:**

- **Mr Tom Lloyd**, Chairman, WhistleblowersUK and former Chief Constable of Cambridgeshire Constabulary, UK

18:30 **Cocktails** in the Marquee

19:45 **Dinner** in Hall and Upper Hall, Jesus College

After-dinner Addresses by **Professor Ian White**, The Master of Jesus College and Deputy Vice Chancellor of the University of Cambridge, Van Eck Professor of Engineering and Head of the Photonic Research Group, University of Cambridge, **Mr Akbar Khan**, Secretary-General, Commonwealth Parliamentary Association Secretariat and former Director of the Legal and Constitutional Affairs Division, Commonwealth Secretariat and Office of the Legal Advisor to the Foreign and Commonwealth Office, UK and **The Hon John Maher III**, Member and Speaker *pro tempore*, House of Representatives, Commonwealth of Pennsylvania and Vice Chairman, Centric Bank, USA, introduced by **Colonel Robert Murfin DL**, President of the Fellowship of Clerks of the City of London and Clerk to the Worshipful Company of Pattenmakers of the City of London, UK with a vote of thanks proposed by **Councillor Wendy Mead**, Chief Commoner of the City of London, member of the Common Council of the City of London and former Sheriff of the City of London, UK and **Professor Michael Waring**, Emeritus Professorial Fellow, Jesus College and Emeritus Professor of Chemotherapy, Department of Pharmacology, University of Cambridge, UK

Sunday, 10th September 2017

8:00 **After breakfast participants in the 35th Cambridge International Symposium on Economic Crime may participate in one or more of the following think tanks or a forum held under 'Chatham House Rule'**

Think Tank I: Organised crime: the Challenge for International Policing

Co-Convenors: **Professor William Tupman**, Professor of Criminal Justice, BPP University and Research Fellow, University of Exeter, UK; **Dr Mary Young**, Lecturer in Law, University of the West of England, UK; **Dr Anna Markovska**, Lecturer in Criminology, Anglia Ruskin University, UK; **Dr Anna Sergi**, Deputy Director, Centre for Criminology, University of Essex, UK

Think Tank II: Cyber Crime

Co-Convenors: **Mr Dave Porter**, Associate Partner, Cognitive Solutions, IBM, UK; **Mr Trevor Bedeman**, Partner, London Risk, UK and **Ms Sophia Qureshi**, Head of Fraud Pre-Sales, Featurespace, UK

Think Tank III: Governance and Compliance

Co-Convenors: **Professor Chizu Nakajima**, Co-Chair of the British Japanese Law Association, Deputy Director of the Symposium, Professor of International and Comparative Law, BPP University, and Affiliated Lecturer, University of Cambridge, and Emeritus Professor of Corporate Law and Governance, London Metropolitan University, UK; **Mr Rod Dowler**, Chairman, Industry Forum, Senior Research Fellow, Global Policy Institute, and former Partner, KPMG, London UK; **Professor Wes Harry**, Advisor and Visiting Professor, Chester Business School, UK; **Professor Thomas Hurst**, S.T. Dell Professor of Law, University of Florida, Levin College of Law, USA; **Professor Johan Henning**, Senior Professor of Mercantile Law, Distinguished Emeritus Professor and sometime Dean of Law, University of the Free State and former State Prosecutor, Republic of South Africa and Visiting Professor in Corporate Law, BPP University, UK

Think Tank IV: Security, Intelligence and Policing

Co-Convenors: **Dr Shima Keene**, Director, Conflict Studies Research Centre, Oxford, Director of the Securities Economics' Programme, Institute of Statecraft, expert Civilian Stabilization Group, Foreign and Commonwealth Office and former Special Advisor (Security and Intelligence) Ministry of Defence and UK Defence Academy Consultant, Thames Valley Police, UK; **Mr Greg Boosalis**, Corporate Counsel/Account Director, SecuriNet and former Division Counsel and Supervisory Special Agent, Federal Bureau of Investigation (FBI), USA; **Air Commodore Mark Ashwell**, UKRAF (Retired), Director, MLA Consultancy 42 and former Director of Intelligence Capability Strategy and Policy, Ministry of Defence, UK; **Mr Kenneth Murray**, Head of Forensic Accountancy, Police Scotland; **Mr Richard Lowe**, Senior Manager, Risk Consulting, KPMG and formerly of Serious Organised Crime Agency/National Crime Agency, UK; **Mr David Chave**, Financial Investigator, SEROCU (South East Regional Organised Crime Unit) UK; **Mr Mark Turkington**, Head of Sanctions, HSBC and former Senior Manager Australian AML, Bribery and Sanctions Compliance, National Australia Bank, Australia; **Mr Clark Abrams**, Assistant District Attorney and Chief, Money Laundering and Financial Investigations Unit, Office of the Special Narcotics Prosecutor, City of New York, USA; **Mr Kevin Thompson**, Solutions Architect, FireEye, formerly US Central Intelligence Agency

Think Tank V: Protecting Whistleblowers – organised under the auspices of Whistle-blowers UK

Co-Convenors: **Mr Tom Lloyd**, Chairman, Whistle-blowers, UK and former Chief Constable of Cambridgeshire Constabulary, UK and **Ms Georgina Halford-Hall**, CEO, Whistle-blowers UK

The above programme is confirmed subject to where the contrary is indicated by an asterisk. Inevitably given the number of speakers and panellists there will be changes and a fully updated programme will be available on the website, www.crimesymposium.org

Workshops

Participation in the **non-plenary** workshops will be restricted so as to facilitate informal discussion. Registration for workshops will be on a first come basis. The convenors for each workshop are indicated in the programme, but discussion will be open to all those participating



**UNIVERSITY OF
CAMBRIDGE**

Jesus College, Cambridge and the University of Cambridge

Jesus College was founded as a college, within the University of Cambridge, in 1496 by Bishop Alcock of Ely. The history of the College is, however, rather more ancient. An order of nuns occupied the site and buildings for at least two hundred and fifty years before this. Since the inception of the annual Cambridge Symposium, thirty-five years ago by Professor Barry Rider, the Master and Fellows of the College have been pleased to host the event and the College administers all financial matters pertaining to the programme.

The earliest record of the University of Cambridge is in 1209 so the University has recently celebrated its 800th anniversary. The University, consisting of over 100 departments, faculties and schools, is rated as the

world's foremost research university. A number of the University's centres and programmes are involved in supporting the annual Cambridge Symposium on Economic Crime



CIDOEC

The Centre for International Documentation on Organised and Economic Crime (CIDOEC)

CIDOEC was established as a non-profit making organisation and network in 1988 to promote collaborative and comparative research in the prevention and control of organised and economic crime. It is based in Cambridge, although it has branches in southern Africa, North America, Latin America and the Far East. The Chairman of CIDOEC's Advisory Board is Mr Saul M Froomkin QC, OBE, a former Attorney General of Bermuda. The Journal of Financial Crime and the Journal of Money Laundering Control are published by Emerald Group Publishing Limited under the auspices of CIDOEC.



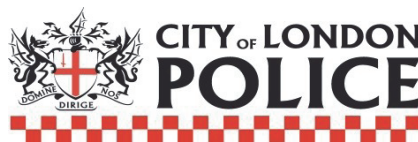
The Institute of Advanced Legal Studies and the Society for Advanced Legal Studies

The IALS was established in 1947 and is a constituent member of the School for Advanced Study of the University of London. Although part of the University of London, the Institute's role is national, and is funded by government on this basis. Its library is the national law library and the Institute's research staff are concerned with promoting and conducting research across a broad spectrum of legal and related issues.



National Crime Agency

The NCA leads, supports and co-ordinates the response against serious and organised crime affecting the UK with a national and international reach and the mandate and powers to work in partnership with other law enforcement organisations. It incorporates a number of commands including the Economic Crime Command and the National Cyber Crime Unit. The commands are supported by a central Intelligence Hub. The Economic Crime Command is tasked with leading and co-ordinating the response to economic crime affecting the U.K., including amongst others: fraud; cyber-enabled fraud; bribery and corruption; intellectual property crime and market abuse.



City of London Police

The City of London's Police are entrusted as the National Lead Force for fraud on behalf of the UK Police Service. They lead the police service fight against fraud and economic crime.



The Serious Fraud Office of England, Wales and Northern Ireland

The Serious Fraud Office is an independent government department, operating under the superintendence of the Attorney General. Its purpose is to protect society by investigating and, if appropriate, prosecuting those who commit

serious or complex fraud, bribery and corruption and pursuing them and others for the proceeds of their crime. Its Director is David Green CB QC.

The Organising Institutions

The Centre for International Documentation on Organised and Economic Crime (CIDOEC);
The Centre of Development Studies, University of Cambridge;
The Computer Security Group, University of Cambridge;
The Institute of Advanced Legal Studies (IALS), University of London;
The Society for Advanced Legal Studies (SALS);
Hengchang Litong Investment Management (Beijing) Co. Ltd;
City of London Police UK, National Lead Force for Fraud and Economic Crime;
The International Chamber of Commerce (ICC);
The International Anti-Corruption Academy;
The Australian Institute of Criminology;
The Centre for Strategic and Global Studies, Russian Academy of Sciences;
Hubei Institute for Strategic Studies of Legal Development, Centre of Rule of Law Development and
Judicial Reform, Zhongnan University of Economics and Law;
The Nathanson Centre on Transnational Human Rights, Crime and Security,
York University, Canada;
The Argentine Federation of Judges;
The Faculty of Political Science, Department of European and International Studies, Centre for
European and Comparative Studies, University of Palermo;
University of the Free State, Republic of South Africa;
International Compliance Association (ICA);
The University of Cyprus;
The Graduate School of Arts and Sciences, University of Tokyo;
Department of Business Law and Taxation, Monash University, Australia;
Saint Louis University, USA;
The Centre for International Financial Crimes Studies, University of Florida, USA;
Centre for Criminology, University of Hong Kong;
Texas A&M University School of Law, USA;
The British Institute of Securities Laws;
In association with: Jesus College, University of Cambridge



CIDOEC

**For information on other CIDOEC programmes and
The Journal of Financial Crime and *The Journal of Money Laundering Control*,
Please contact**

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The 35th Cambridge International Symposium on Economic Crime

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